

sPHENIX TPC and transition to EIC TPC

Outline: • sPHENIX TPC intro • Performance from testbeam • Simulation • EIC evolution

Jin Huang (Brookhaven National Laboratory)

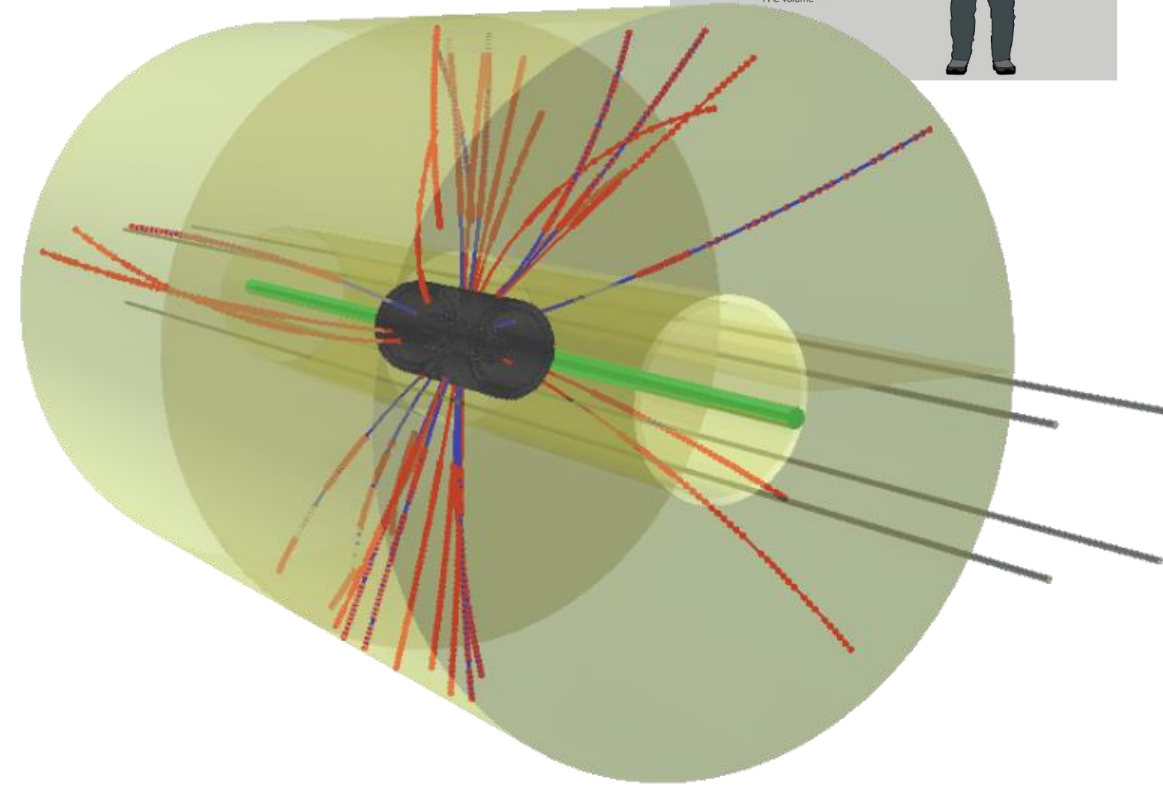
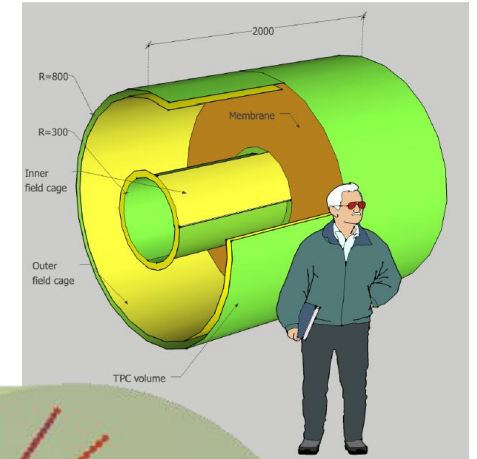
Thanks to the input from Bob Azmoun, Klaus Dehmelt, John Haggerty,
Tom Hemmick, Chris Pinkenburg, Martin Purschke

sPHENIX TPC Introduction



sPHENIX Time projection chamber

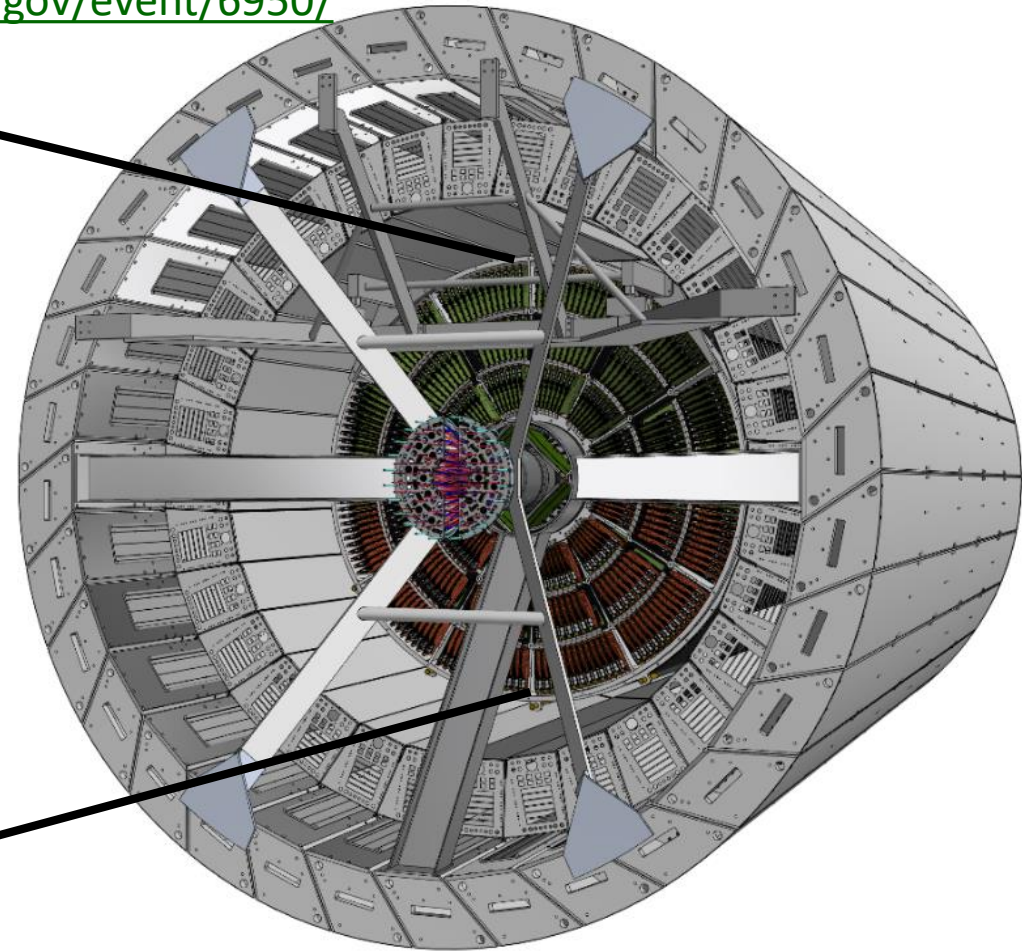
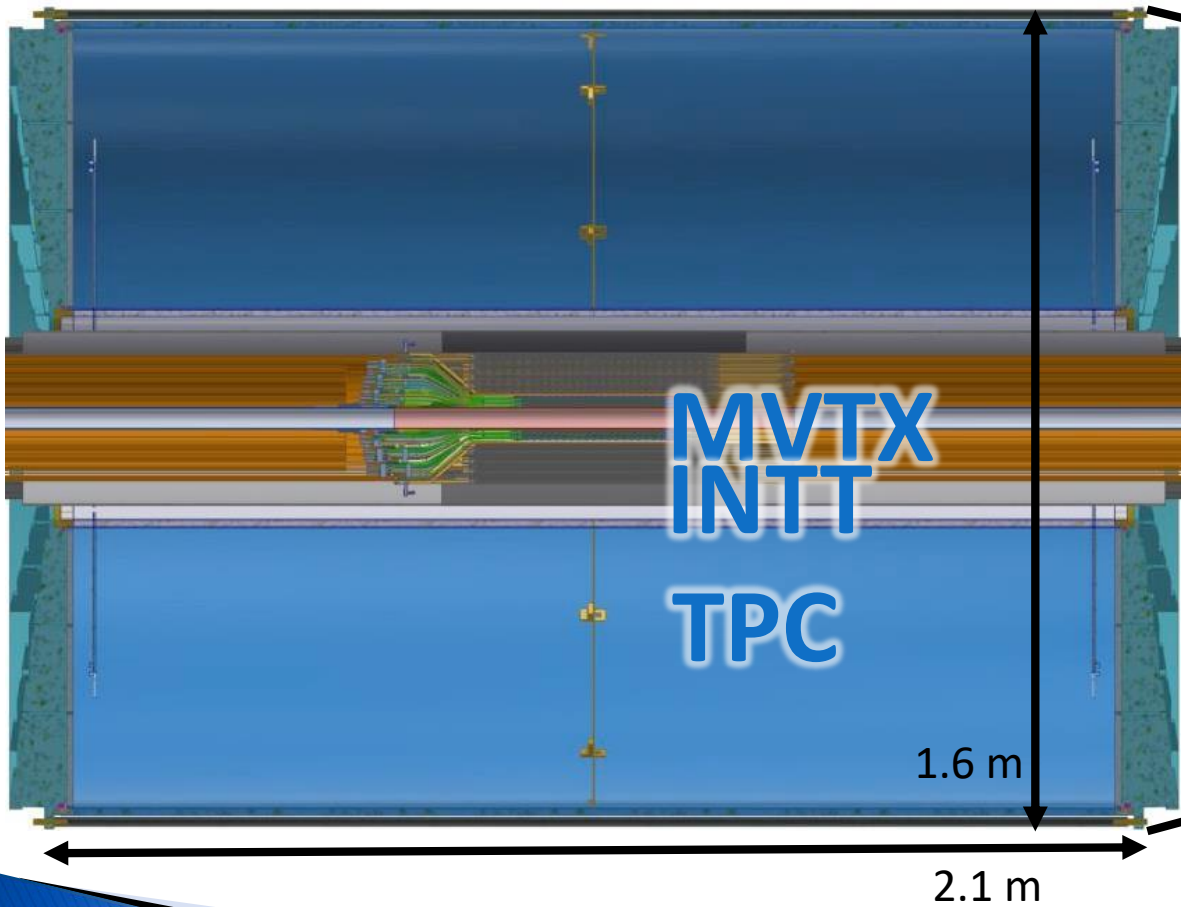
- ▶ A next-generation TPC operated in continuous readout mode using Gas-Electron Multiplier (GEM) avalanche w/ low Ion Back Flow (IBF)
- ▶ Thin field cage: 1.5cm, 1.5% X_0
- ▶ sPHENIX-2019 gas: Ne/CF₄ 50/50
- ▶ Drift : 400V/cm, 8 cm/ μ s, 13 μ s drift
- ▶ Low T-diffusion: 40 μ m/ \sqrt cm @ B=1.4T
- ▶ GEM: Gain = 2000, IBF~1%
- ▶ 48 pad rows in sensitive vol. R = 20-80 cm (30-80 used in sPHENIX). Zig-zag pads.
- ▶ Shaping/FEE: 80ns/20MHz SAR ADC (SAMPAv5 ASIC), trigger-less readout
- ▶ Operation point is optimized for top multiplicity AA operation. Many can be easily adjusted for EIC application.



di-b-jet in p+p collisions $\sqrt{s}=200$ GeV, full G4 sim \rightarrow Kalman filter reco display

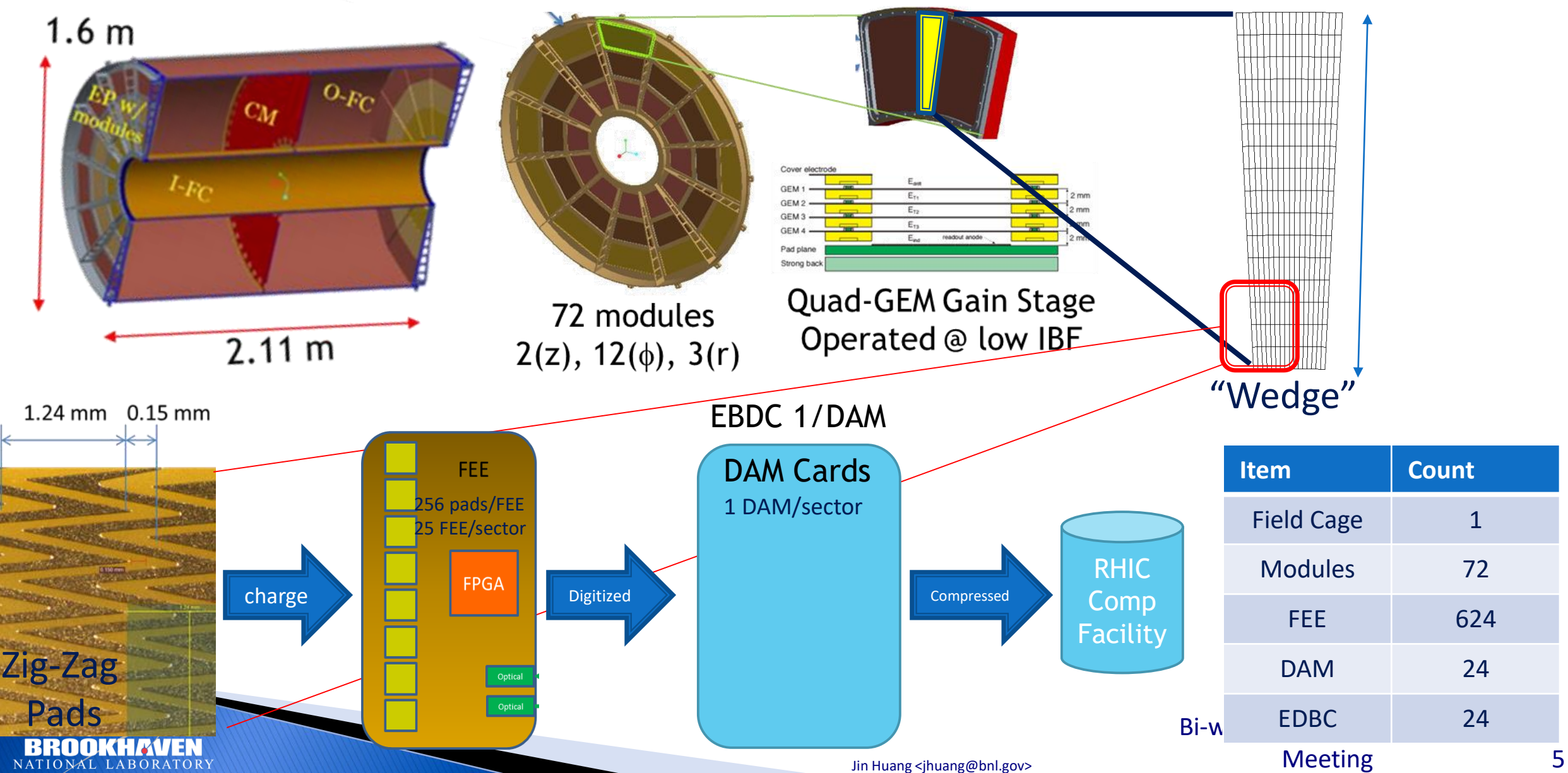
Mechanical assembly

More details: <https://indico.bnl.gov/event/6662/> , <https://indico.bnl.gov/event/6950/>



Detectors inside the magnet

Readout components

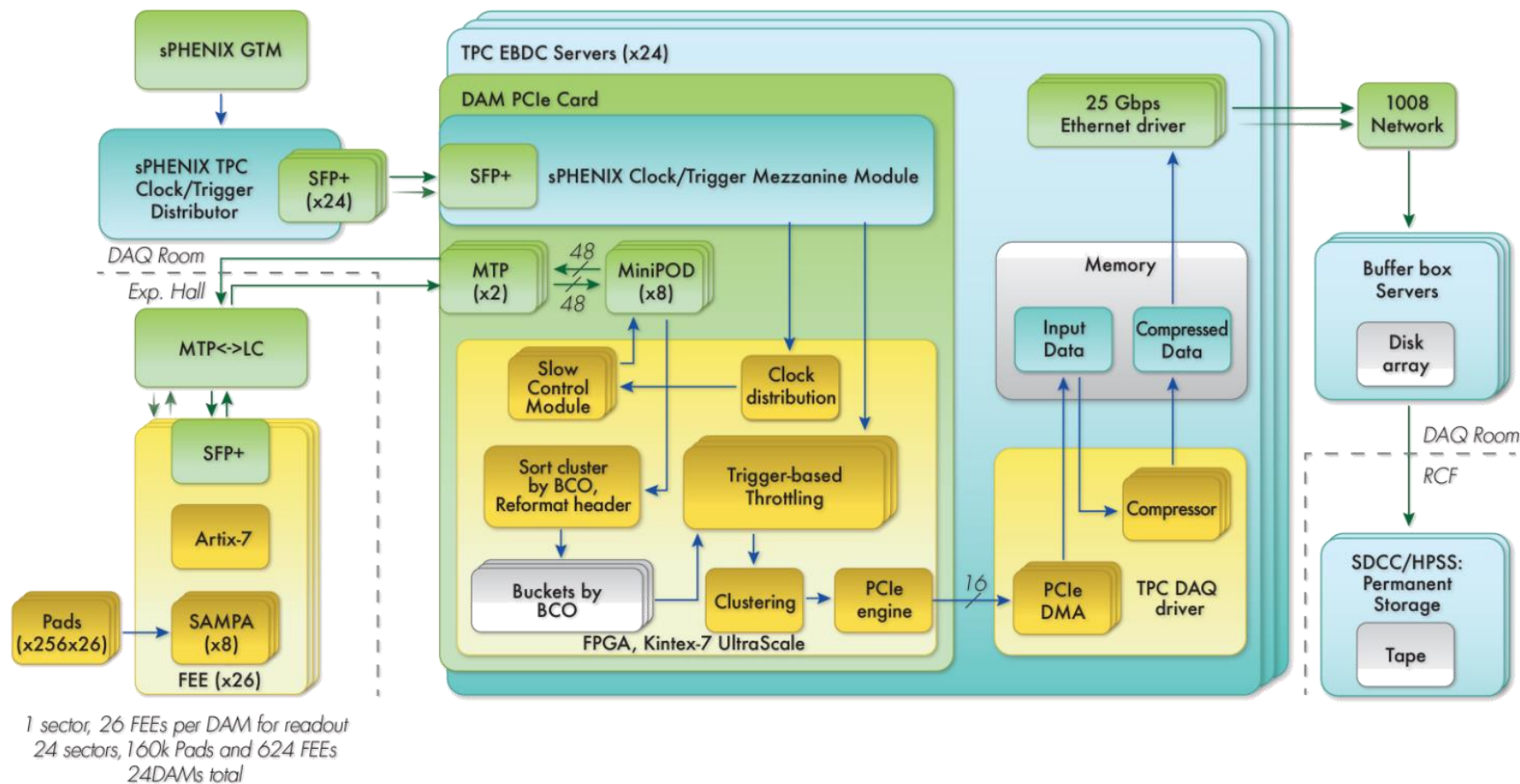


Continuous readout DAQ

256ch FEE based on ALICE
to be SAMPAv5 w/ 80ns shaper



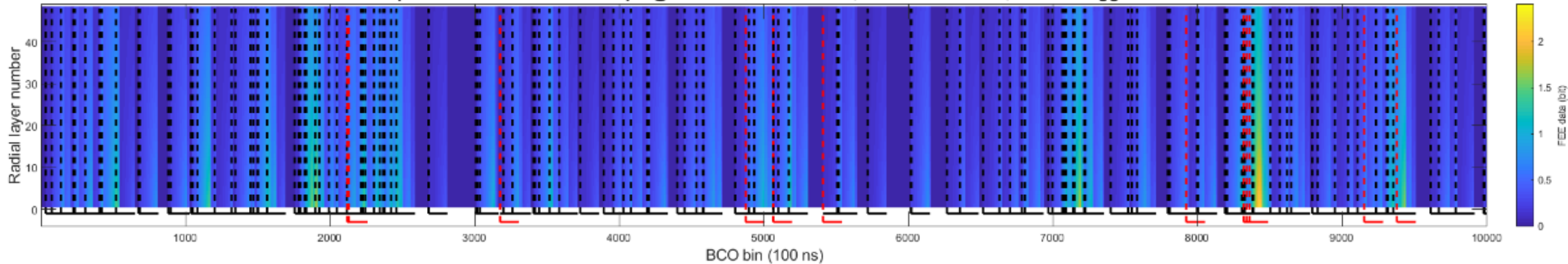
sPHENIX DAM based on ATLAS FELIX



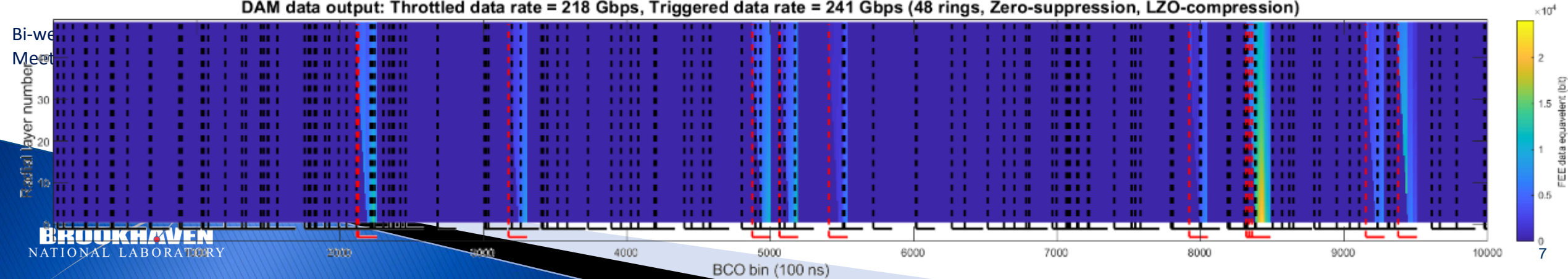
Continuous readout operation

- ▶ FEE continuously digitize at 20 MHz, zero suppression in SAMPA ASIC
- ▶ DAM buffer all data in FPGA, throttle output that is corresponding to calo. trigger

FEE data input to DAM. Rate = 1794 Gbps @ Au+Au \sqrt{s} = 200 GeV, 170 kHz Collision, 15 kHz Trigger 13 us Drift



DAM data output: Throttled data rate = 218 Gbps, Triggered data rate = 241 Gbps (48 rings, Zero-suppression, LZO-compression)

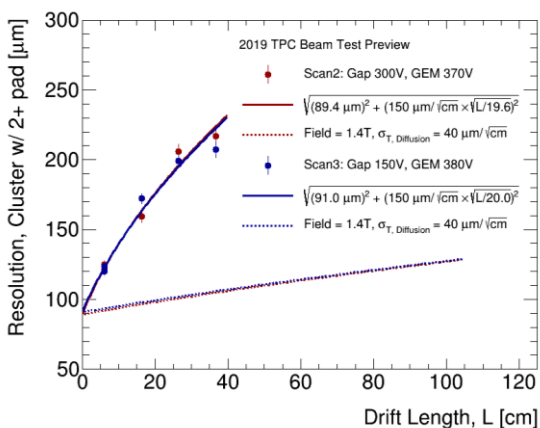
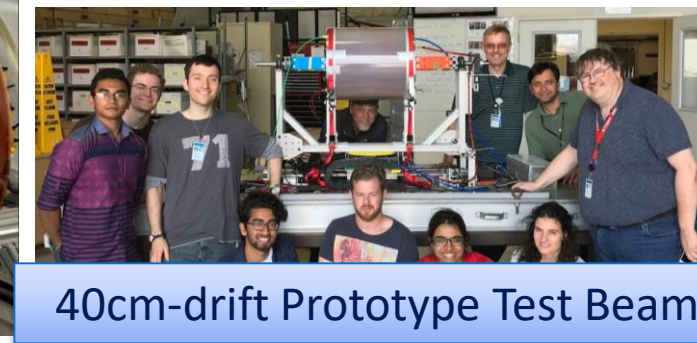
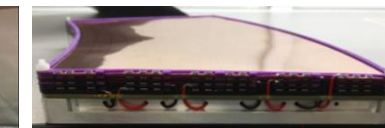
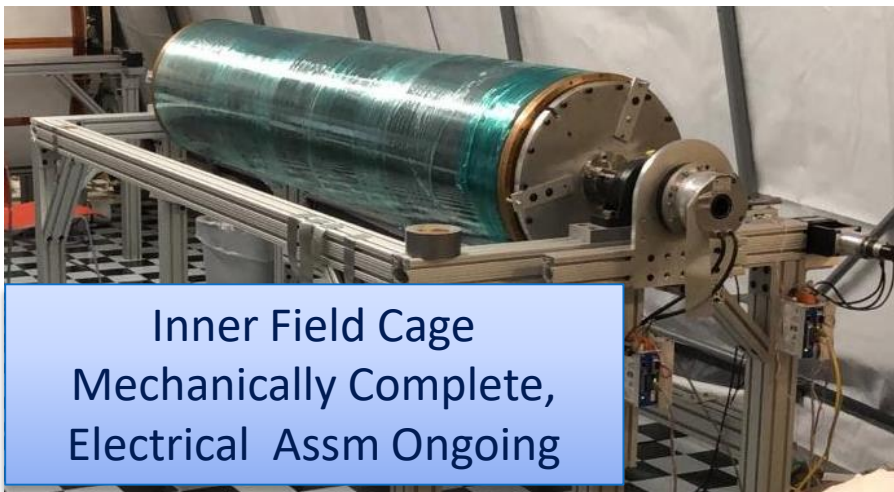


sPHENIX TPC data rate?

- ▶ Instantaneous TPC data rate at a given instantaneous collisions rate
 - Au+Au TPC data rate [Gbps] $\sim 70 + 1 * \text{Collision_kHz}$
- ▶ sPHENIX rate capability would allow EIC operation stream recording all collision-induced data without triggering

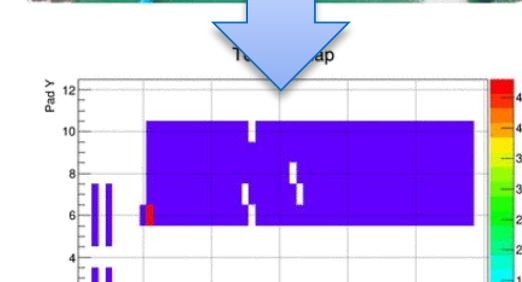
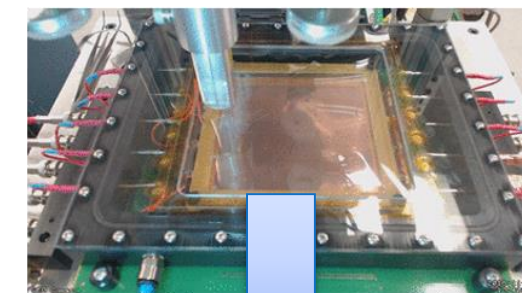
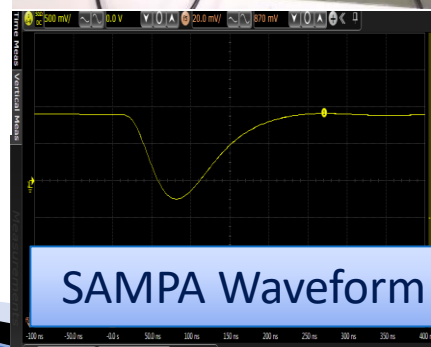
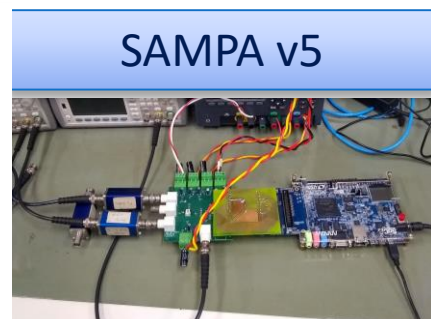
	AuAu (Y-1)	AuAu (Y-3)	AuAu (Y-5)	pp	pA
Average collision rate [kHz]	100	140	170	12900	2800
FFE → DAM data rate [Gbps]	1100	1476	1800	1700	1470
DAM → DAQ data rate [Gbps]	170	209	240	160	133
Per-event size @ DAQ [MB/evt]	1.4	1.7	2.0	1.3	1.1

Status and Highlights



Sim <138 μm >

Meas 90-130 μm

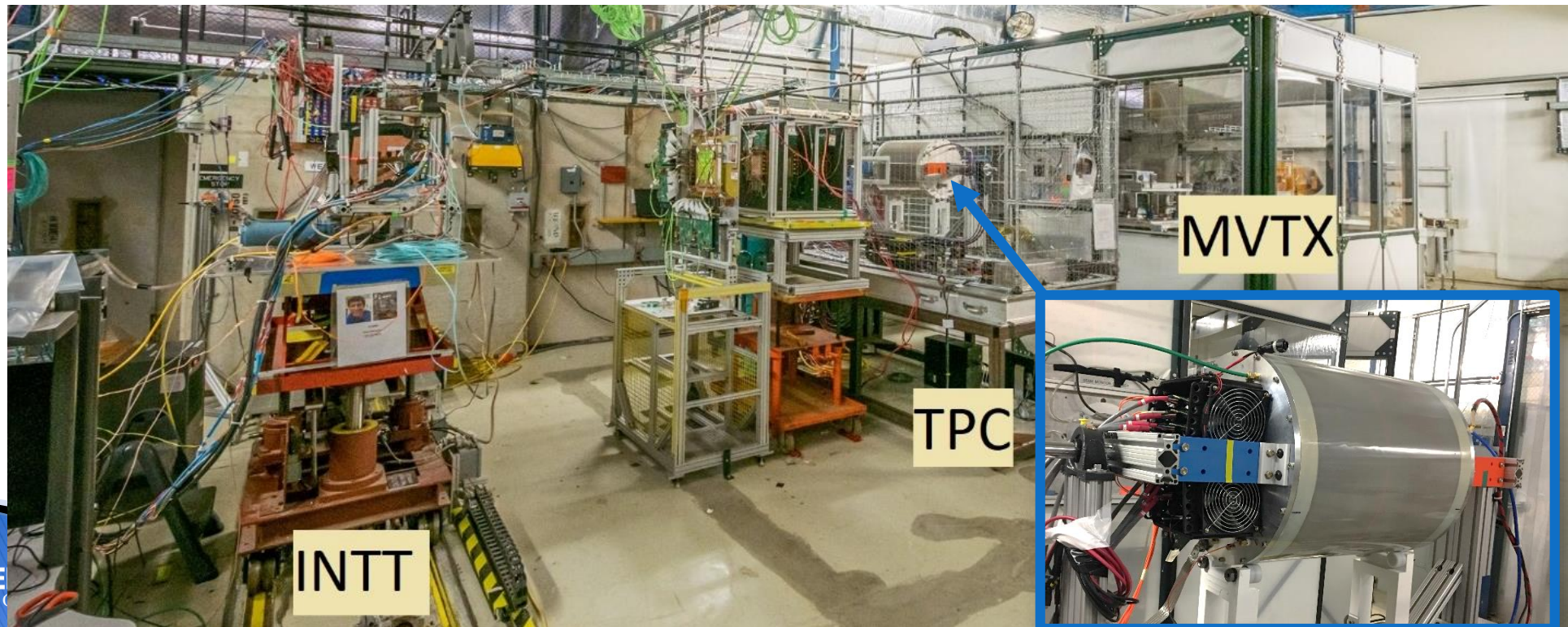


TPC performance from test beam



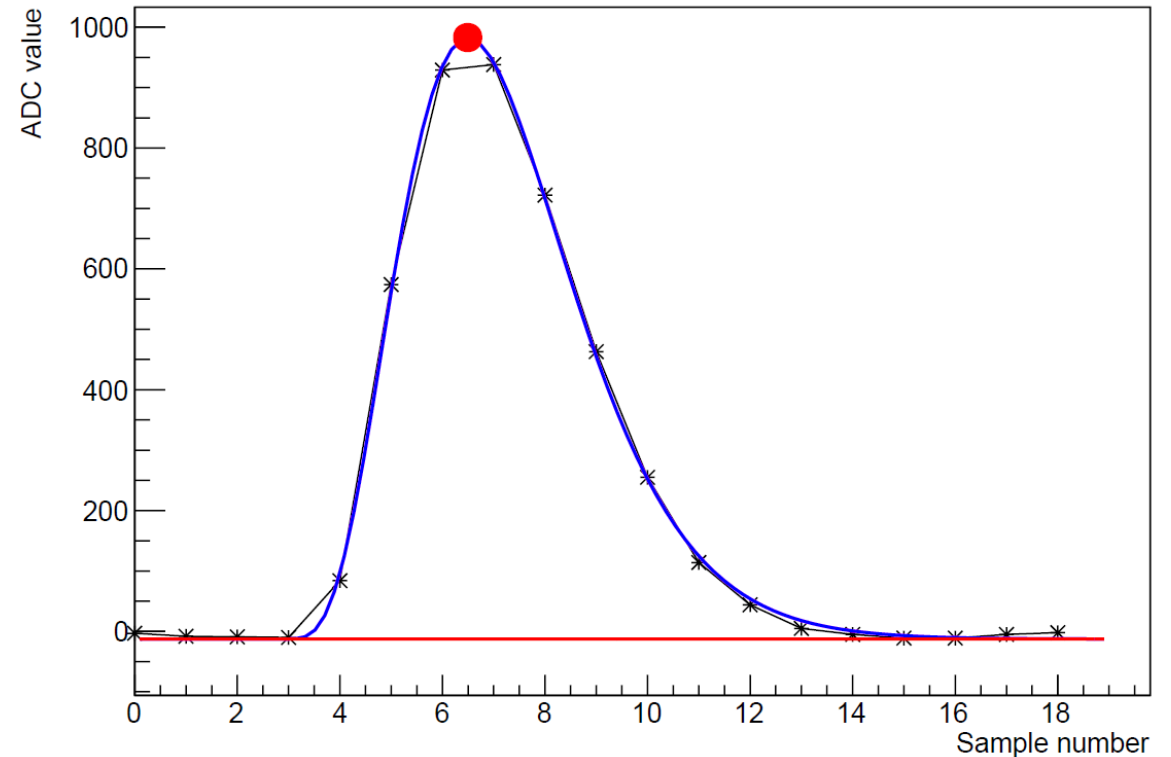
Latest iteration test beam : 2019 @ FTBF

- ▶ GEM Framing @ BNL, tested @ Yale → Prototype field cage
- ▶ 50/50 Ne/CF₄ gas, various HV tunes to test low ion backflow
- ▶ Readout w/ one of 24 sPHENIX R2 readout pad, 16 pad rows
- ▶ First run with SAMPA+DAM+GTM→RCDAQ (sPHENIX Chain)



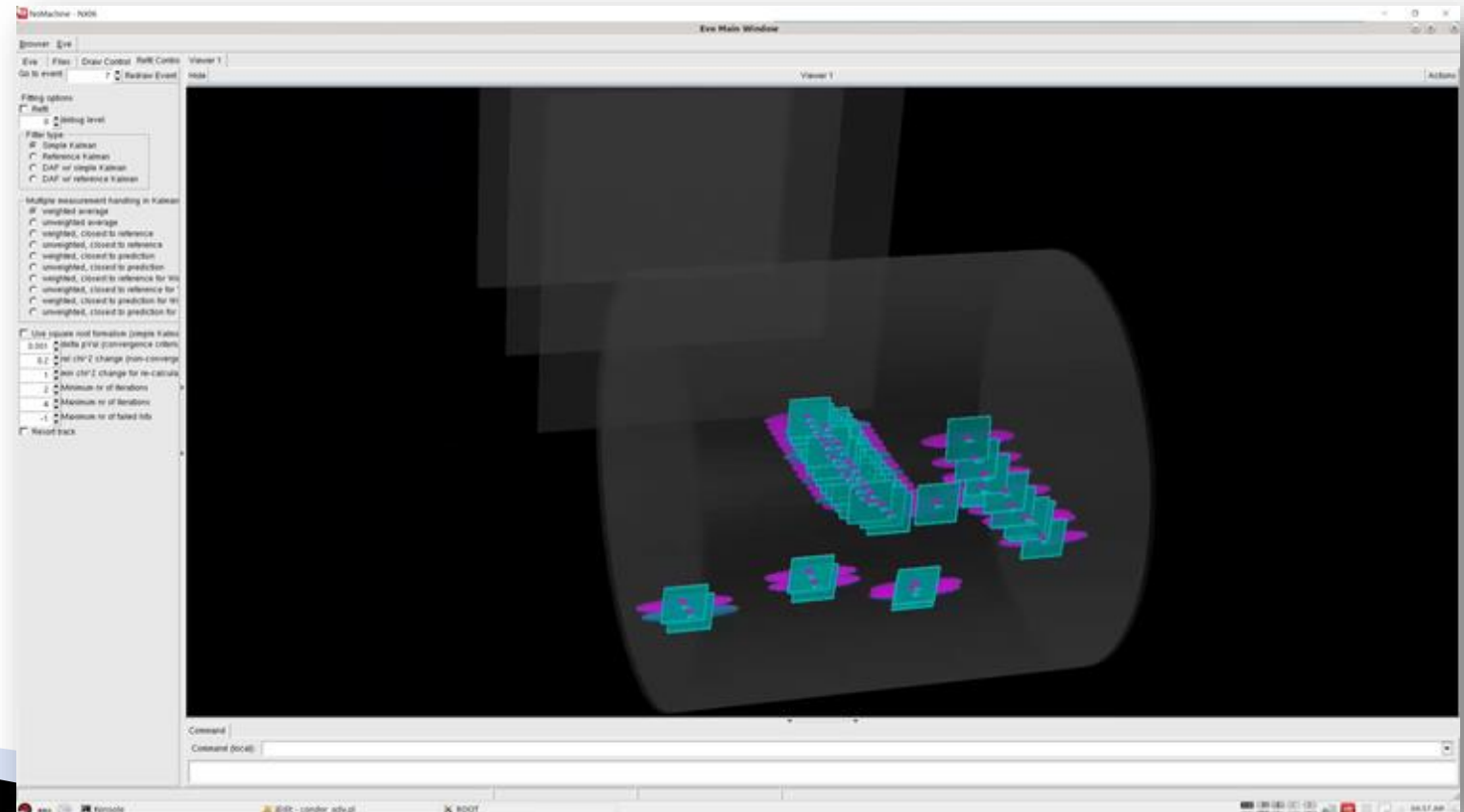
Clustering and Fit with LC-shaping function

- ▶ Reference to code:
[TpcPrototypeUnpacker::Clustering\(\)](#)
- ▶ With in each layer, clustering neighboring pads above threshold
- ▶ Fit overall cluster ADC vs Time to get signal shape with shaping function fit
- ▶ Use the constraint fit to fit each pad, and use each pad's energy to extract energy weighted cluster position



Tracking and Kalman track fitting

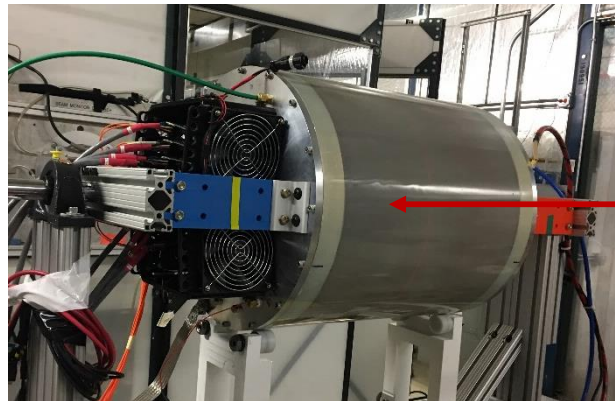
- ▶ [Code reference: TpcPrototypeGenFitTrkFitter](#)
- ▶ 1-removed residual: Remove the cluster in study, perform Kalman filter fit of rest clusters on track, extrapolate to cluster and calculate residual on both phi and z dimensions



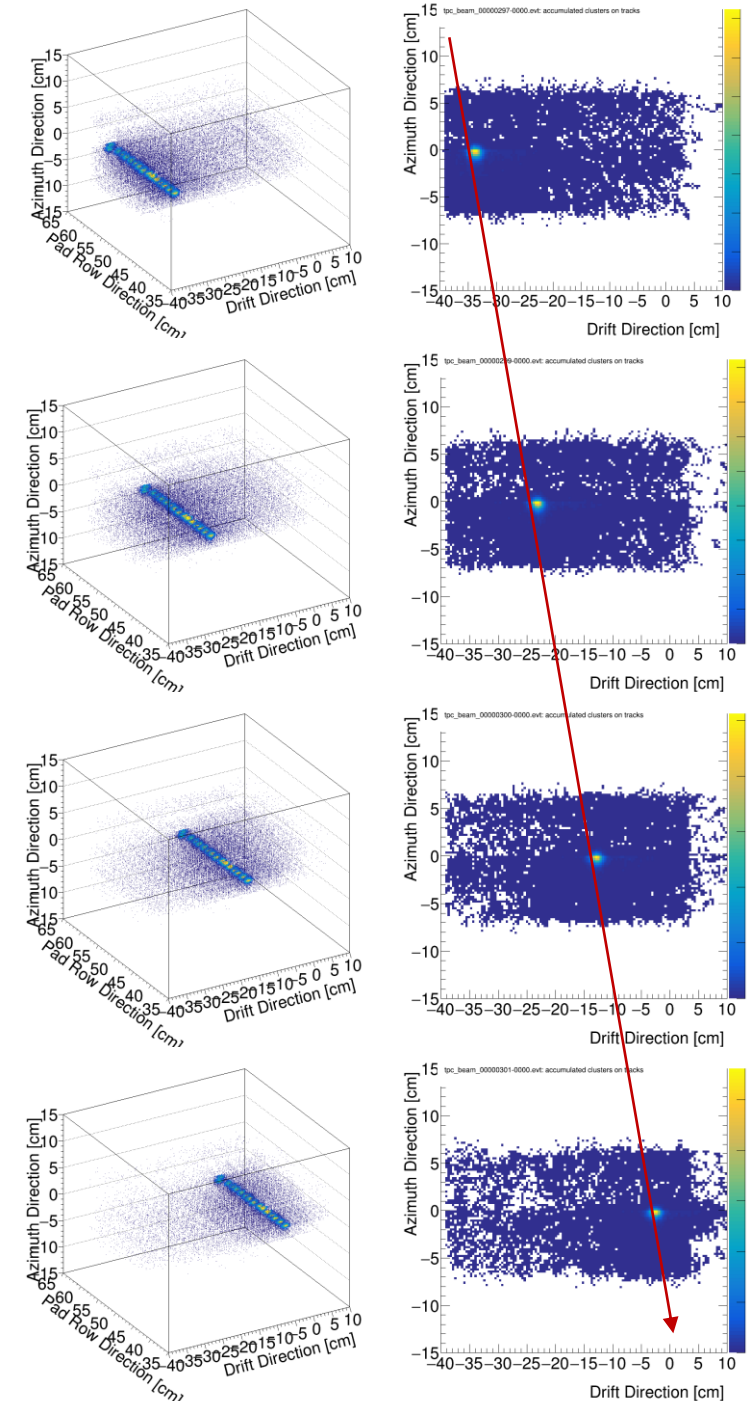
Positions scans

- ▶ Main goal is to study resolution as function of drift length
- ▶ Example, 2nd scan:

Postion (in)	Runs
6	288, 292
10	293
14	294
18	295

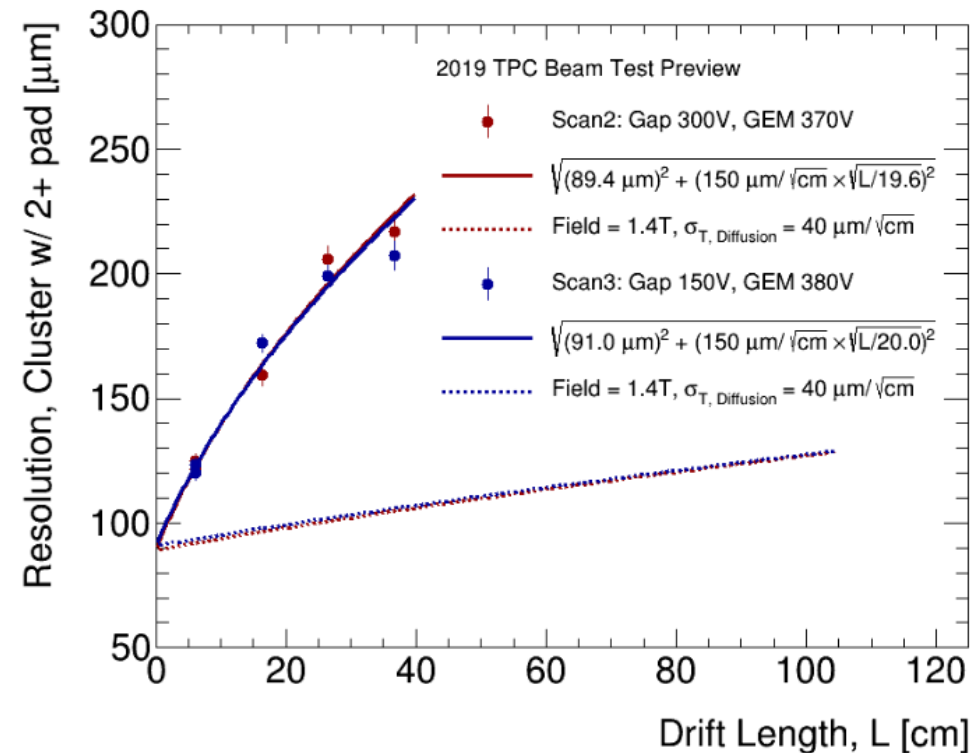
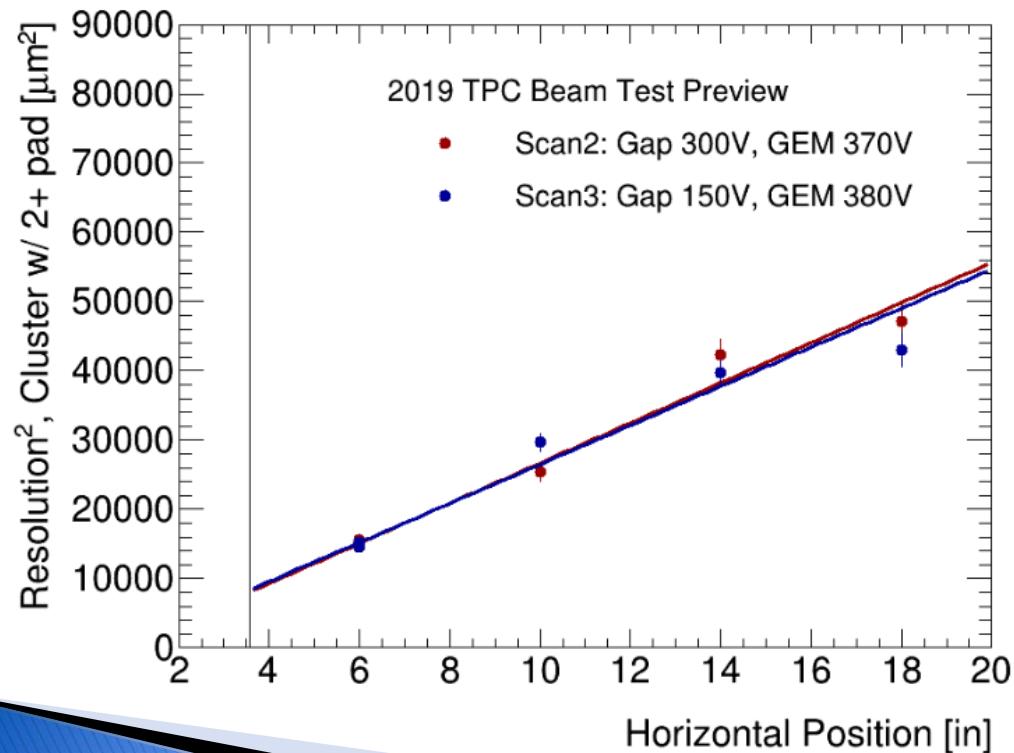


Proton
120 GeV



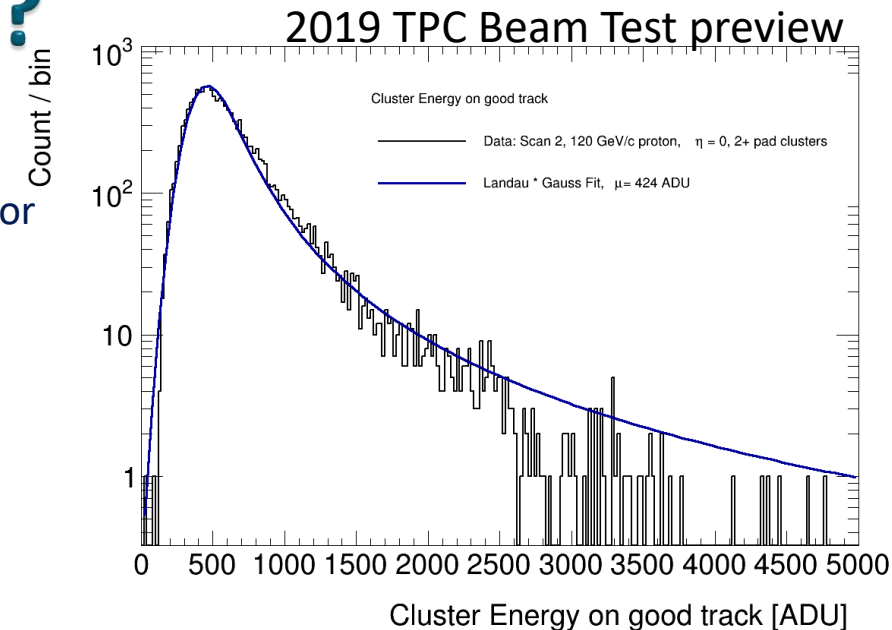
Very preliminary results : position resolution

- ▶ Two position scans show consistent results in position resolution
- ▶ Project to full length TPC in 1.4T magnetic field, resolution < 150 μm



What about PID dE/dx resolution?

- ▶ sPHENIX TPC is compact comparing to STAR/ALICE
 - 48 pad row, $\Delta R = 50\text{cm}$ (sPHENIX) / 60cm (EIC R1). Compact favored for EIC detector
- ▶ sPHENIX-2019 gas is 50% CF_4 – 50% Ne
- ▶ CF_4 is a very attractive gas for PID via dE/dx :
 - Very high in primary ionization: 51 / cm, 2x of Ar
 - Very low in Secondary ionization: $n_{\text{total}}/n_{\text{primary}} = 2$, $\frac{1}{2}$ of Ar
 - Low fluctuation due to Landau tail and high sensitivity per measurement
- ▶ Although not a sPHENIX req., this TPC could be a PID-capable detector



TPC	Pad rows	Gas	Radial Drift Vol. [cm]	dE/dx [keV/cm]	Primary Ionization [/cm]	Total Ionization [/cm]	Total Ionization/Initial Ionization	Integrated Primary Ionization	dE/dx resolution $\eta=0$	Reference
STAR w/ iTPC	72	P10 - 10% methane, 90% argon	150	2.344	23.2	89.9	3.9	3,480	6.5%	RHIC S&T review 2019, Caines; iTPC proposal
ALICE 2010	160	(Ne/CO2 90/10)/N2 5% (N2 not in calculation)	161.8	1.705	14.35	47.8	3.3	2,322	5% (cosmic)	doi:10.1016/j.nima.2010.04.042
sPHENIX2019 w/ EIC R1	48	Ne/CF4 50/50	60	4.28	31.5	71.5	2.3	1,890	This study	sPHENIX TDR; arXiv:1402.1209 [nucl-ex]

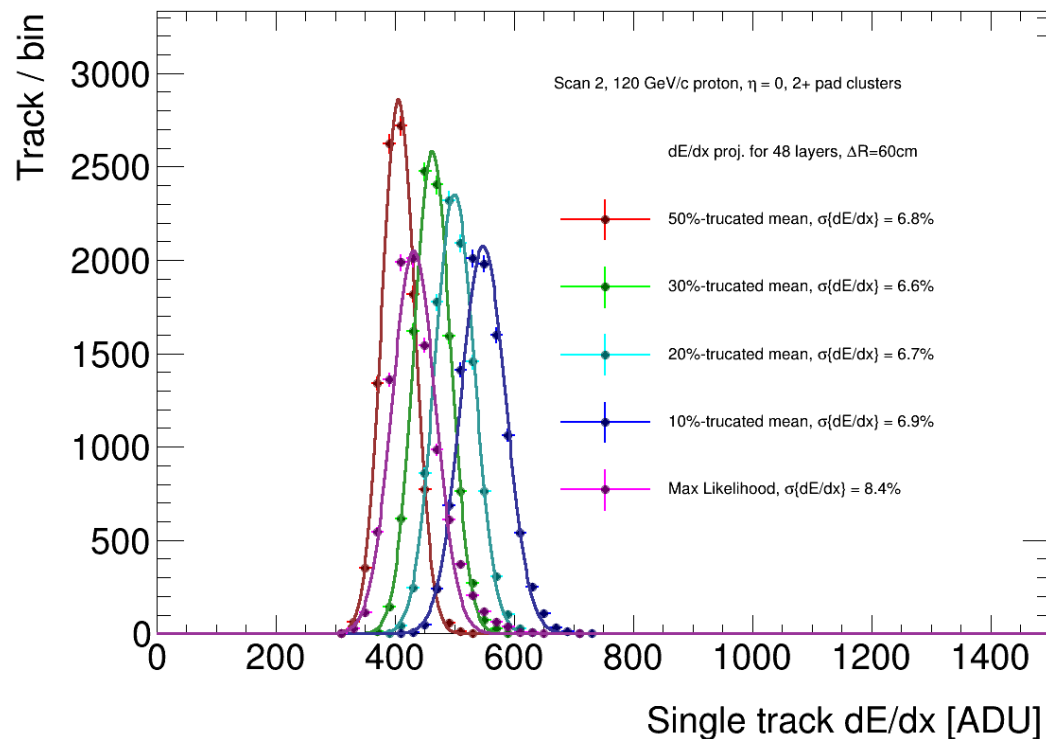
Compact size

High primary ionization

Low secondary ionization

Very preliminary results : dE/dx resolution

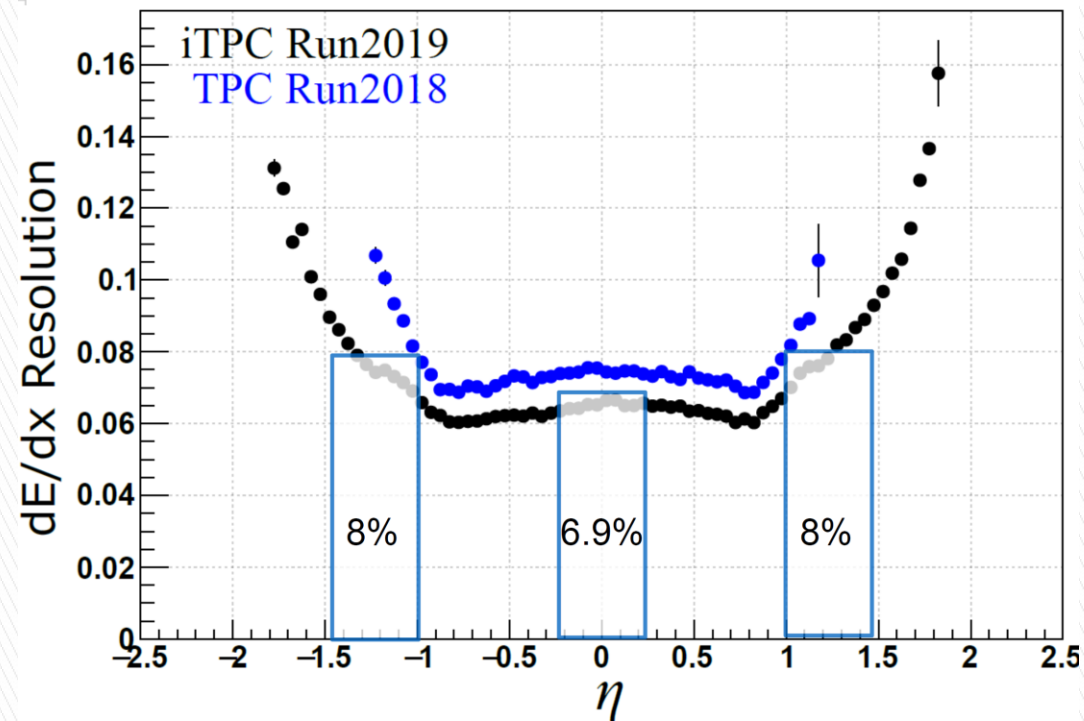
2019 TPC Beam Test preview, projection for 48 layer



sPHENIX test beam data projected to EIC
readout: resolution $\leq 7\%$

More detailed note: https://nbviewer.jupyter.org/github/sPHENIX-Collaboration/analysis_tpc_prototype/blob/master/fnal_2019/dEdx/main.ipynb

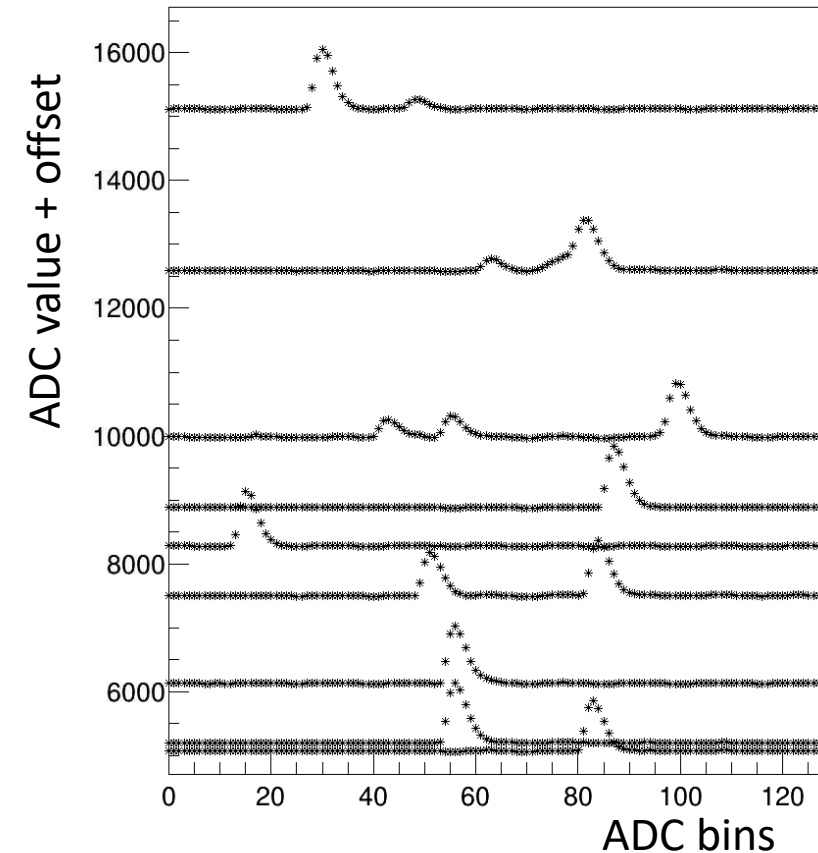
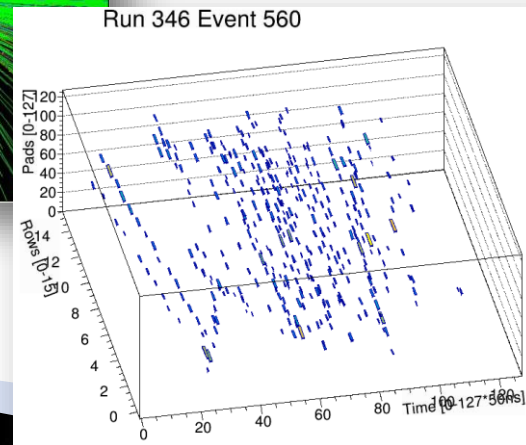
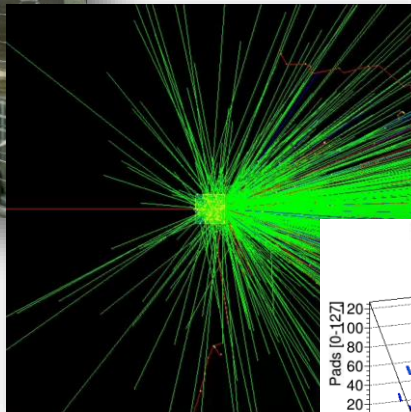
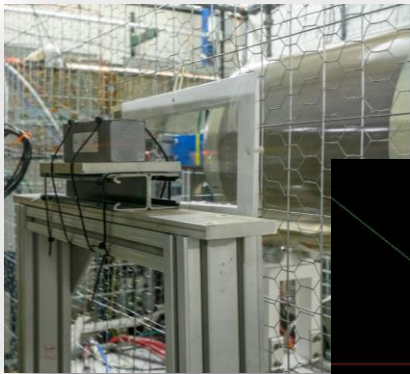
Improved dE/dx resolution



STAR iTPC upgrade
[RHIC S&T review, Caine]

High multiplicity operation

- ▶ Put a 7 radiation length EMCal block in front of TPC to induce high track density
- ▶ For pile up study, high signal recovery

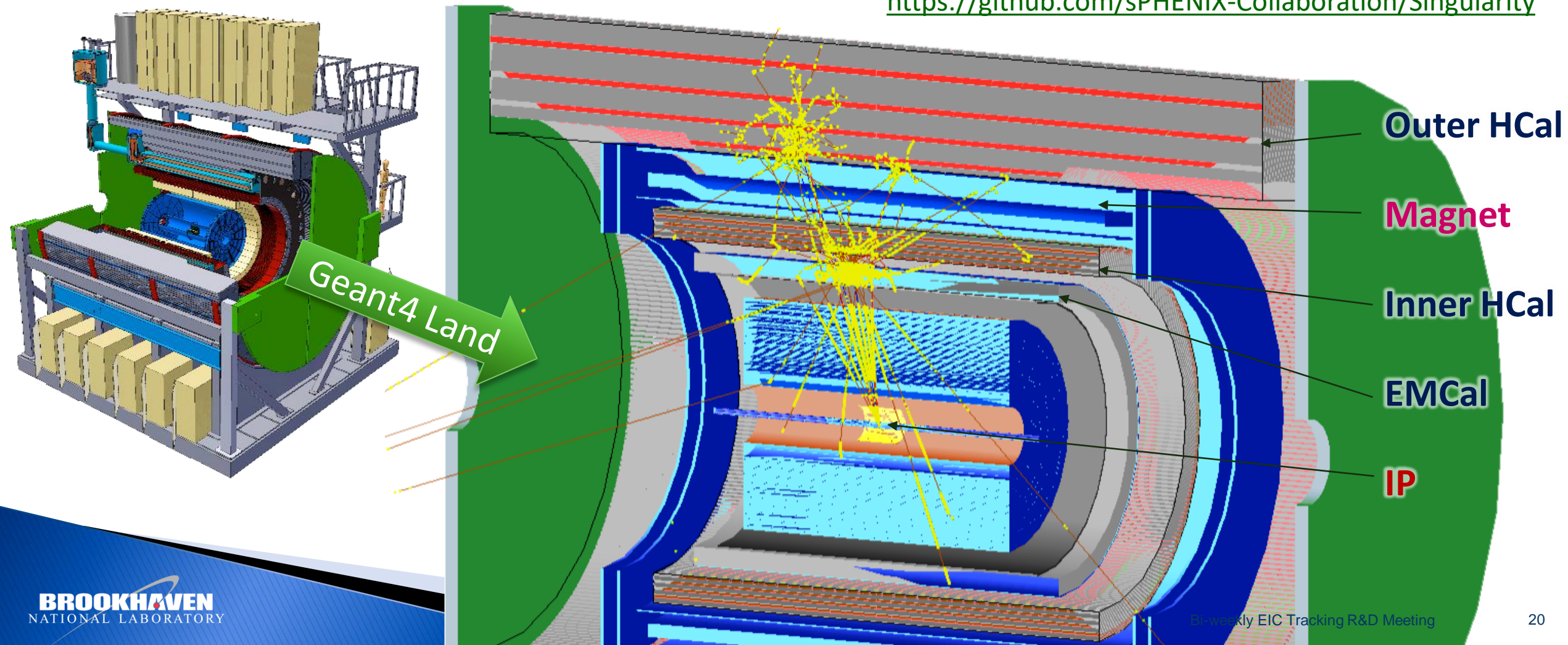


Simulation implementation

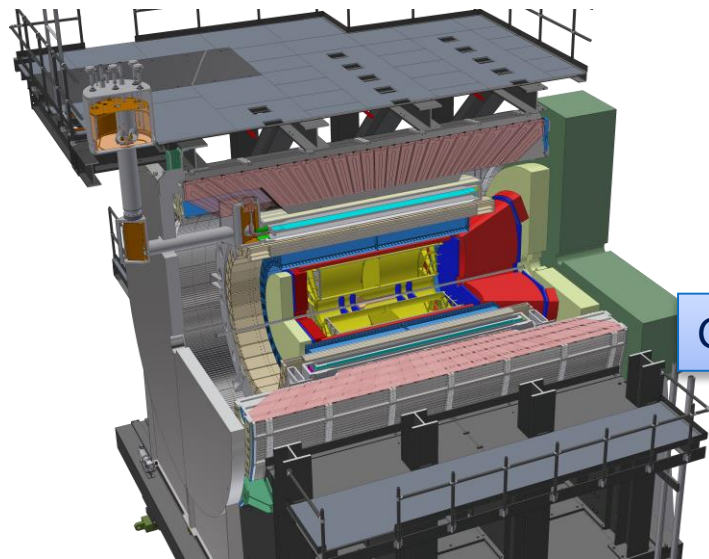


sPHENIX in simulation

- Open source on GitHub
- Try it on your laptop:
<https://github.com/sPHENIX-Collaboration/Singularity>



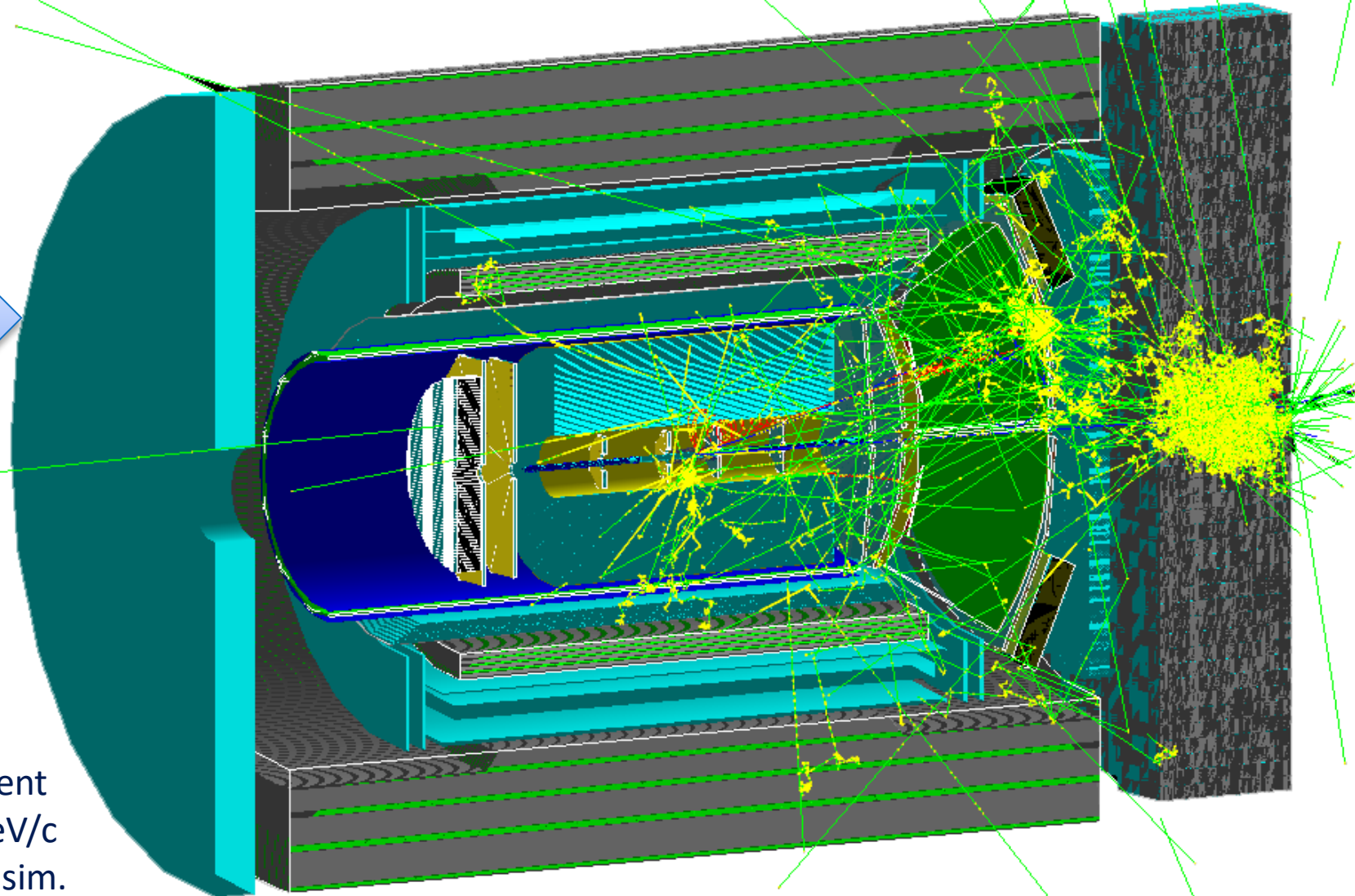
Framework also adopted for EIC simulation



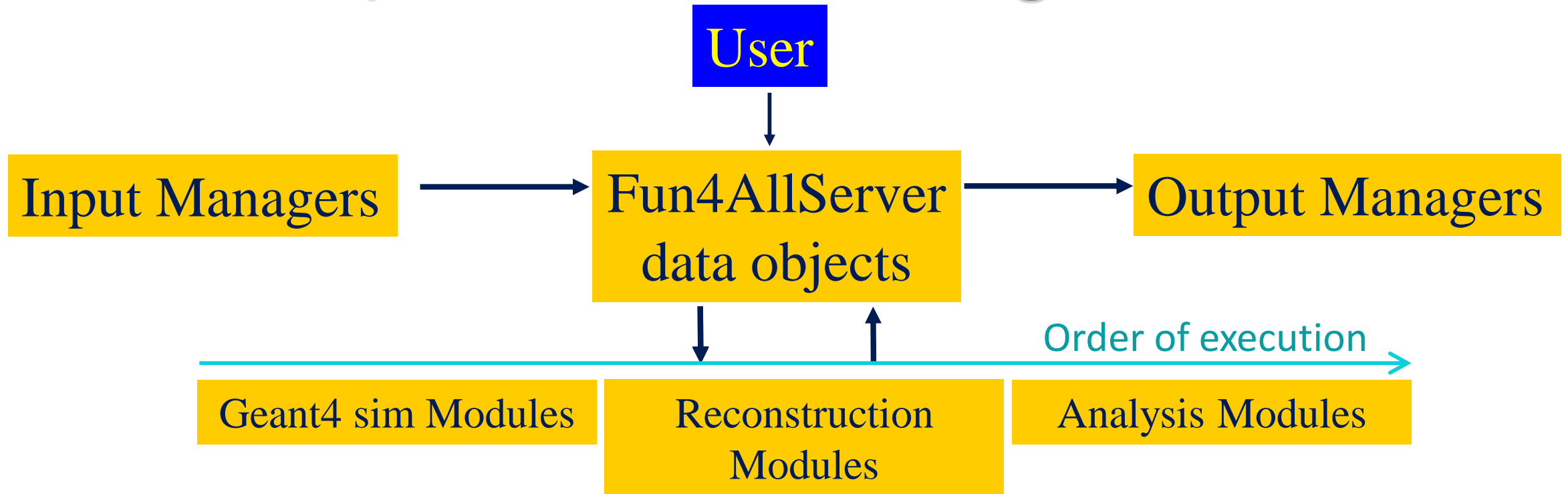
Geant4

https://github.com/sPHENIX-Collaboration/macros/blob/master/macros/g4simulations/Fun4All_G4_EICDetector.C

LQGENEP 1.0
Leptoquark event
 $e+p$ 20x250 GeV/c
+ sPHENIX-EIC sim.

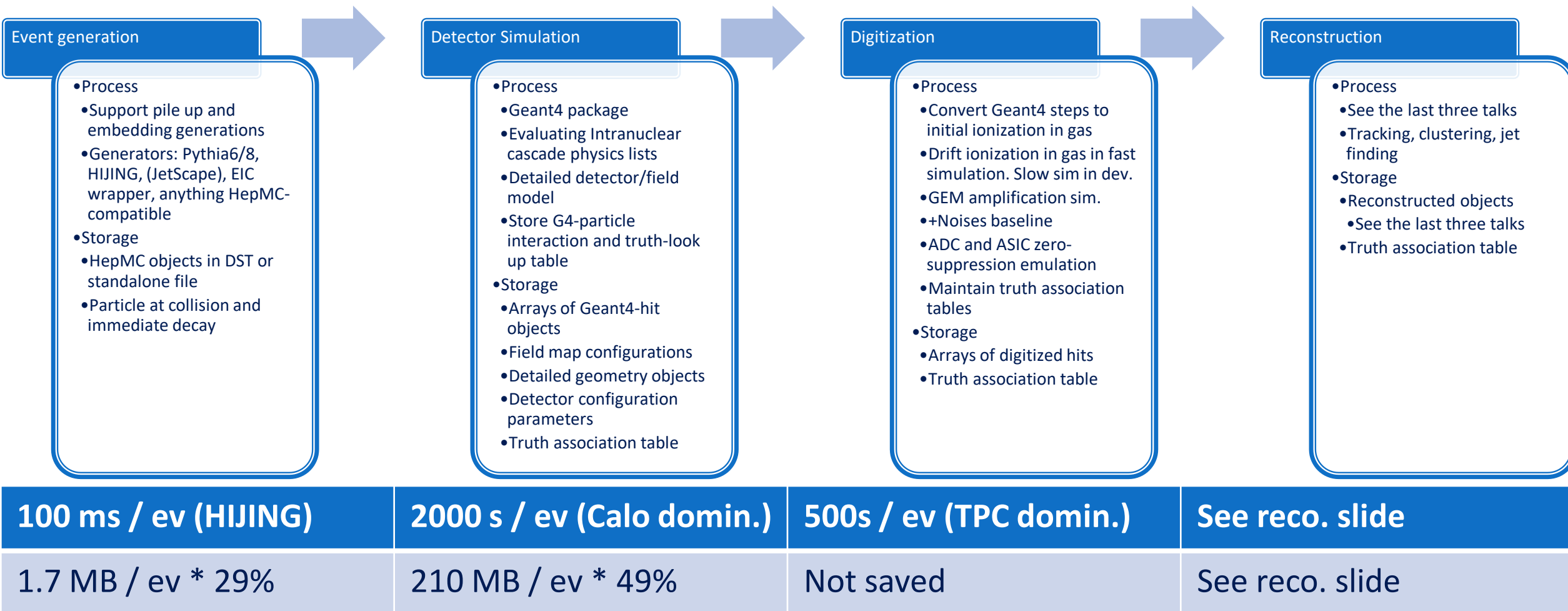


Simulation/reco framework integration



- ▶ Geant4 simulation and reconstruction are **integrated** in the sPHENIX software framework
- ▶ **In production mode**: run Geant4 sim in central production (CPU intensive), buffer the output file (DST) for reuse (require disk space), then run reconstruction in separated user sessions.
- ▶ **Ensure same configuration and geometry are used** in simulation and reconstruction → **embedding**
 - For example, Geometry and magnetic field configuration in Geant4 is automatically passed down to reconstruction stage for use in alignment adjustment, in tracking Kalman filter and in calorimetry geometric presentation.

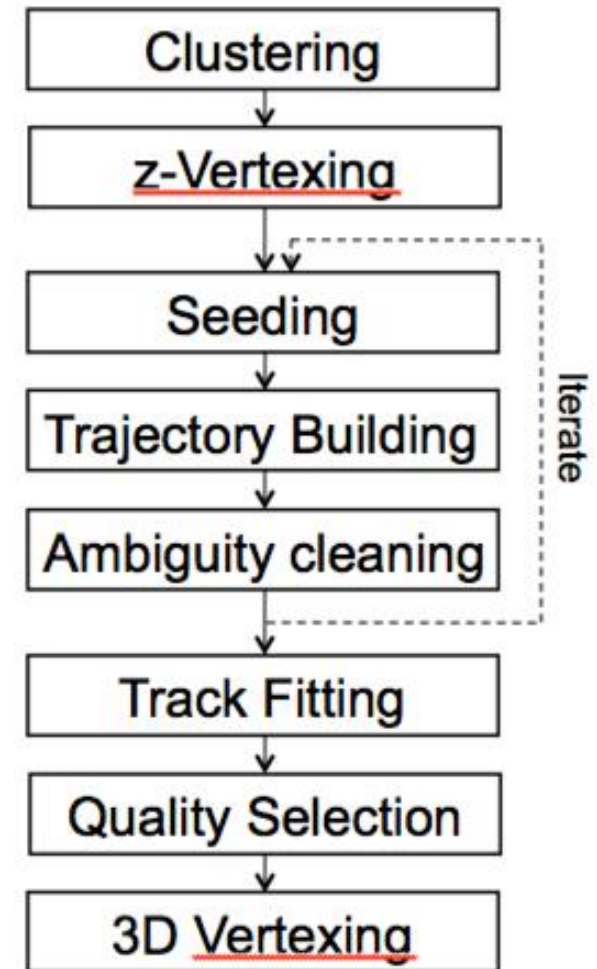
Simulation chain



- Above table : per-event resource for **central** Au+Au event in full sPHENIX.
- Store object optimized for fast dev, **rather for space saving yet**. Stored in ROOT file with compression ratio shown in the table.
- **Rare signal probes** simulated in the embedding mode (fast 10s/ev, regularly carried out 0.1-1M sample studies in the past)

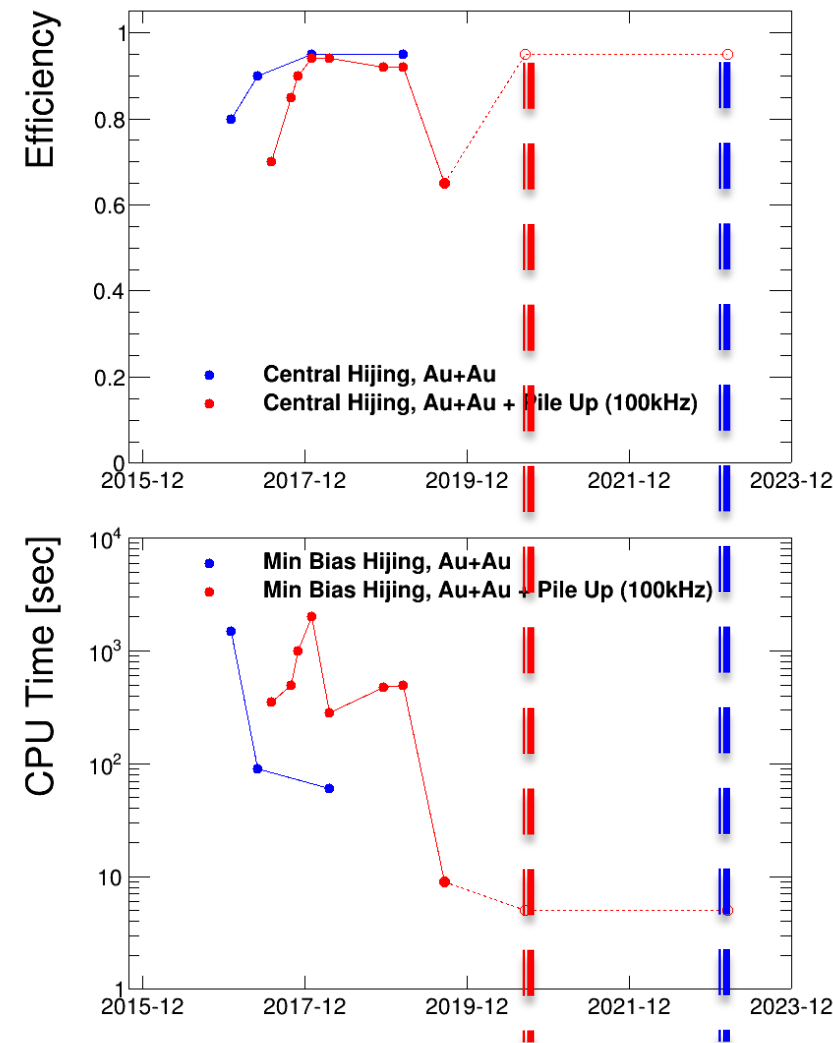
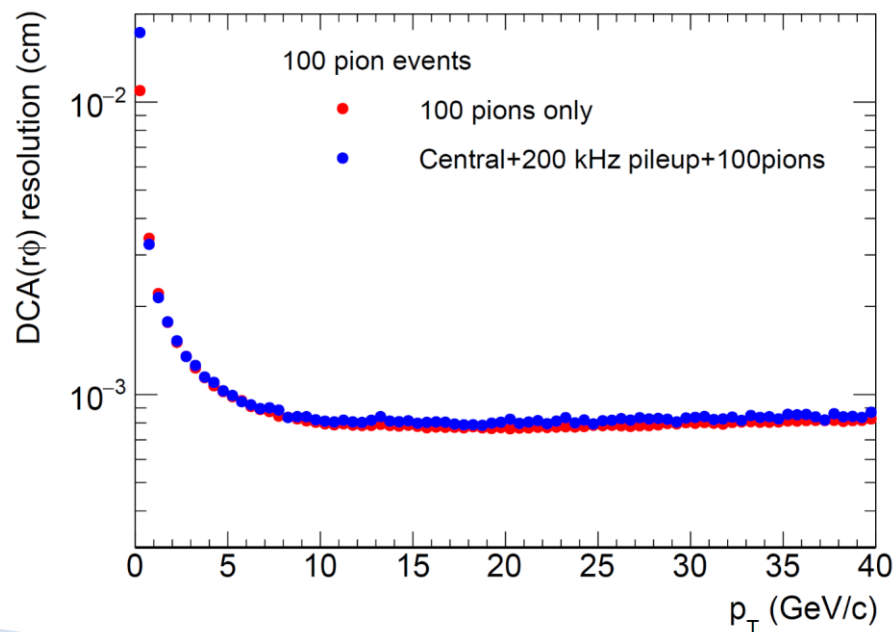
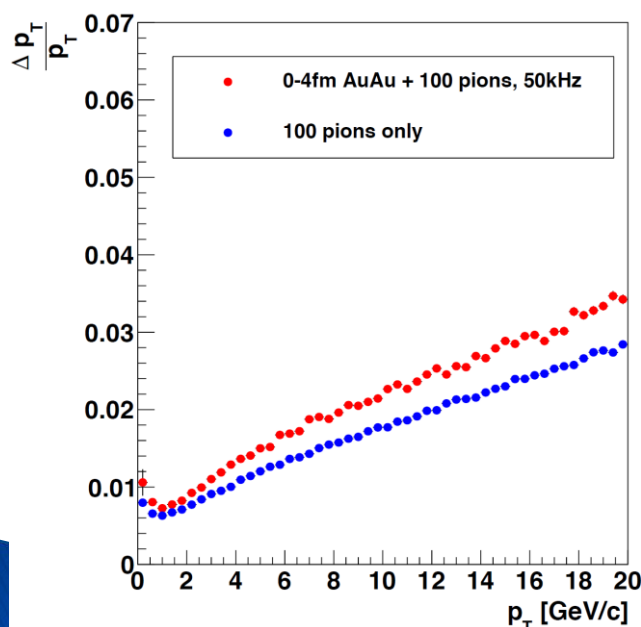
Reconstruction chain

- ▶ Iterative Kalman Filter based track reconstruction package
- ▶ Hough transformation based seeding algorithm
 - Provides redundancy against missing hits
 - Outside in approach
- ▶ Track propagation and fitting based on the GenFit package
 - Open source software
 - Well tested through use in different experiments
 - E.g. PANDA, BELLE
- ▶ Iterations with hit removal and different seed constraints
 - 4 hits out of 7 layers
 - 6 hits out of 12 layers
- ▶ RAVE-based vertex finding, fitting
- ▶ Considering evolution to ACTS for speed optimization by HEP community



Tracking performance

- ▶ Evaluated for most challenging case of AuAu collision + pileup
- ▶ EIC multiplicity would be significantly lower
- ▶ Reference: <https://indico.bnl.gov/category/85/>
 - sPHENIX PD-2/3 review
 - sPHENIX computing review



Distributed computing for simulation

- ▶ Simulation are suitable for distribute for opportunistic computing offsite, e.g. OSG
- ▶ Successful experience in distributing sPHENIX simulation via Singularity container, e.g. at LLNL, Umich, ...
 - A light-weight virtual environment to reproduce RCF software environment offsite
 - Validated output to be consistent with RCF

<https://github.com/sPHENIX-Collaboration/Singularity>

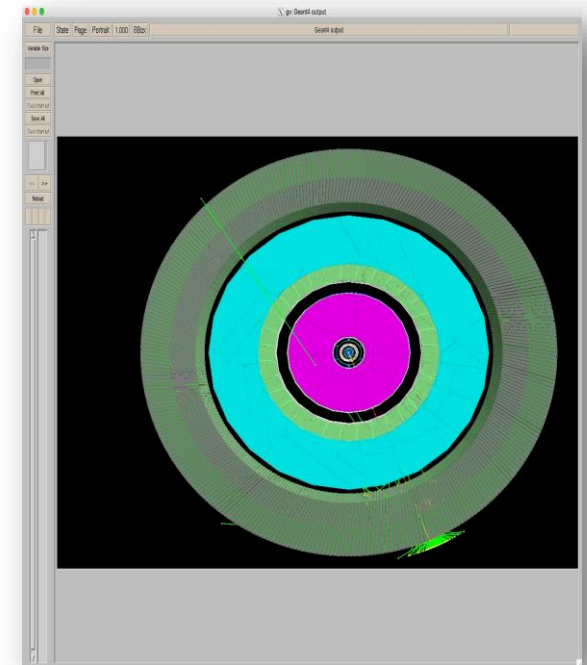
Singularity container for sPHENIX and EIC-sPHENIX

Singularity container for sPHENIX and EIC-sPHENIX allow collaborators to run sPHENIX RCF/SDCC environment with the nightly builds on your local computers or on external high-performance computing clusters.

This repository includes the instruction and local update macro for this Singularity container.

Validations: `updatebuild.sh --build=new` **build** **passing**, `--build=root5` **build** **passing**

standard macros **git** **tutorials** **git** **code reference** **Doxygen** **last commit** **july**

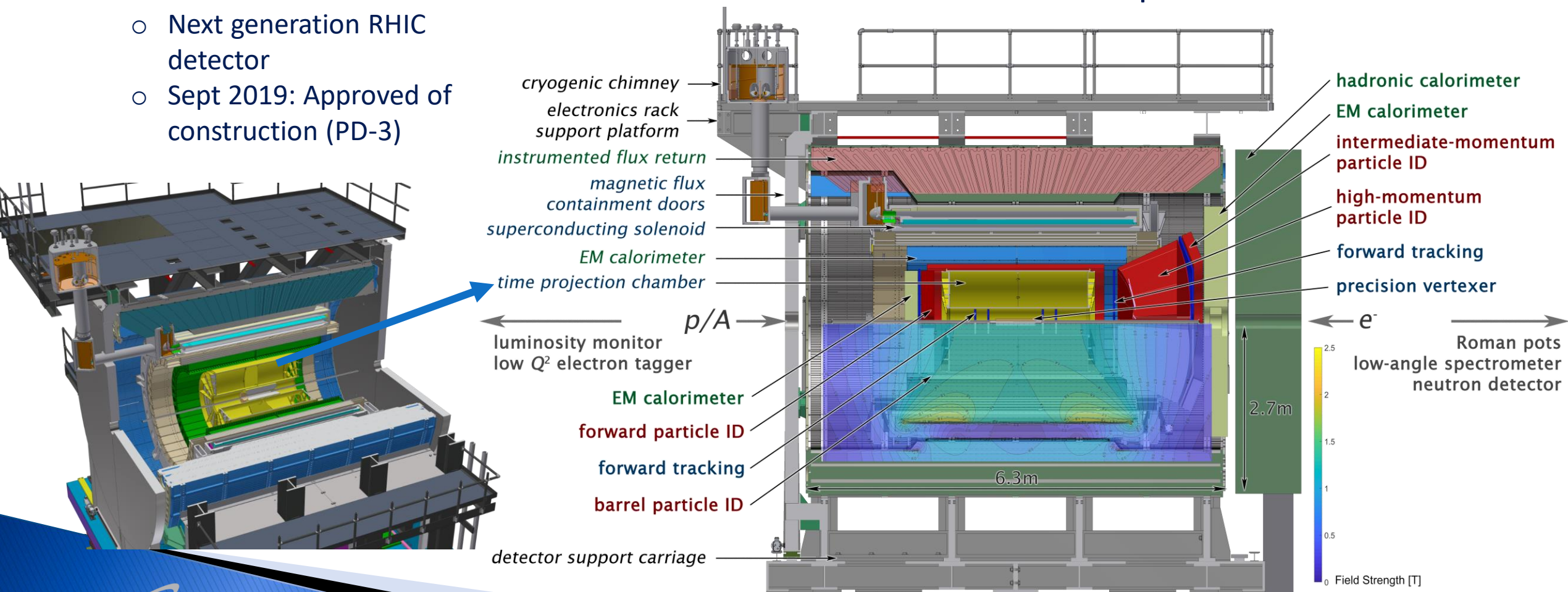


Comments on EIC evolution



sPHENIX TPC → sPHENIX-EIC detector concept

- sPHENIX:
 - Next generation RHIC detector
 - Sept 2019: Approved of construction (PD-3)
- Foundation for an EIC detector concept [arXiv:1402.1209, sPH-cQCD-2018-001]



Possible TPC work points for EIC operation

sPHENIX operation point

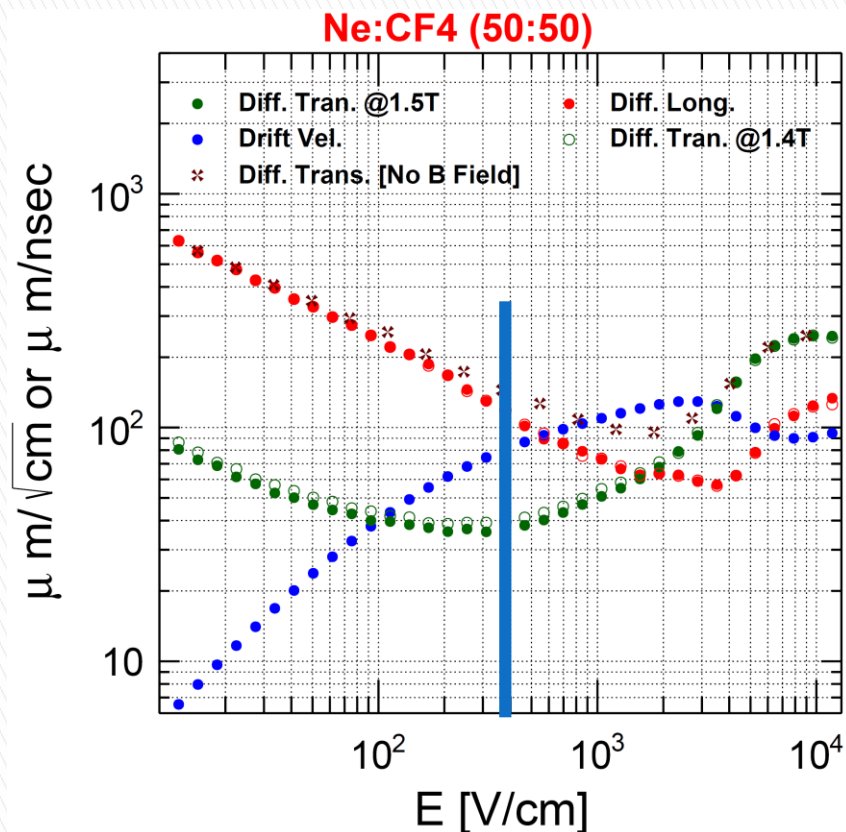
- ▶ Continuous readout mode using Gas-Electron Multiplier (GEM) avalanche w/ low Ion Back Flow (IBF)
- ▶ sPHENIX-2019 gas: Ne/CF₄ 50/50
- ▶ Drift : 400V/cm, 8 cm/μs, 13 μs drift
- ▶ Low T-diffusion: 40μm/√cm @ B=1.4T
- ▶ GEM: Gain = 2000, IBF~1%
- ▶ 48 pad rows in sensitive vol. R = 30-80 cm
- ▶ Shaping/FEE: 80ns/20MHz SAR ADC (SAMPv5 ASIC)
- ▶ Optimized for AA top multiplicity operation

Subset of possible EIC operation points

- ▶ Continuous readout mode using GEM aiming to stream record 500kHz EIC collision
- ▶ Gas: High CF₄ gas, Ar/CF₄ 95/5, T2K??
- ▶ Drift : 5-10 cm/us?
- ▶ Low T-diffusion: <50μm/√cm @ B=1.4-3 T
- ▶ GEM: IBF not as big concern
- ▶ 48 pad rows in sensitive vol. R = 20-80 cm
- ▶ Shaping/FEE: 80-160ns/10-20MHz SAR ADC (SAMPv5 ASIC)
- ▶ **Need optimization study...**

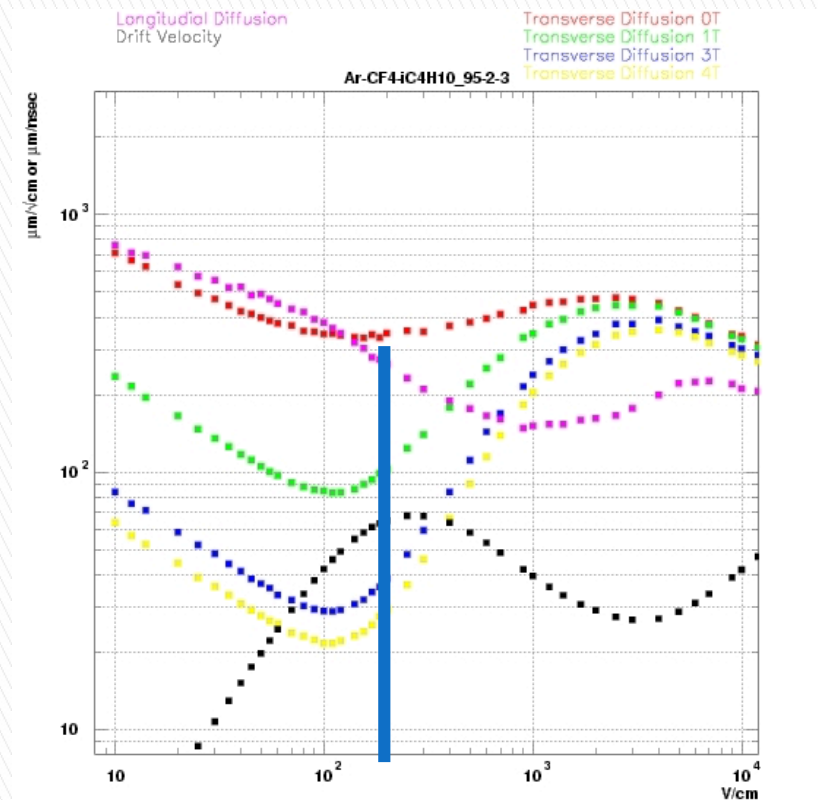
Reprehensive gas choices, Ne or Ar-based

Prakhar Garg <prakhar.garg@stonybrook.edu>



sPHENIX-2019 gas: Ne-CF₄ 50-50
 Drift $E \sim 400 \text{ V/cm}$, $n_{\text{primary}} = 32/\text{cm}$, $n_{\text{total}} = 72/\text{cm}$

ILC, Saga University

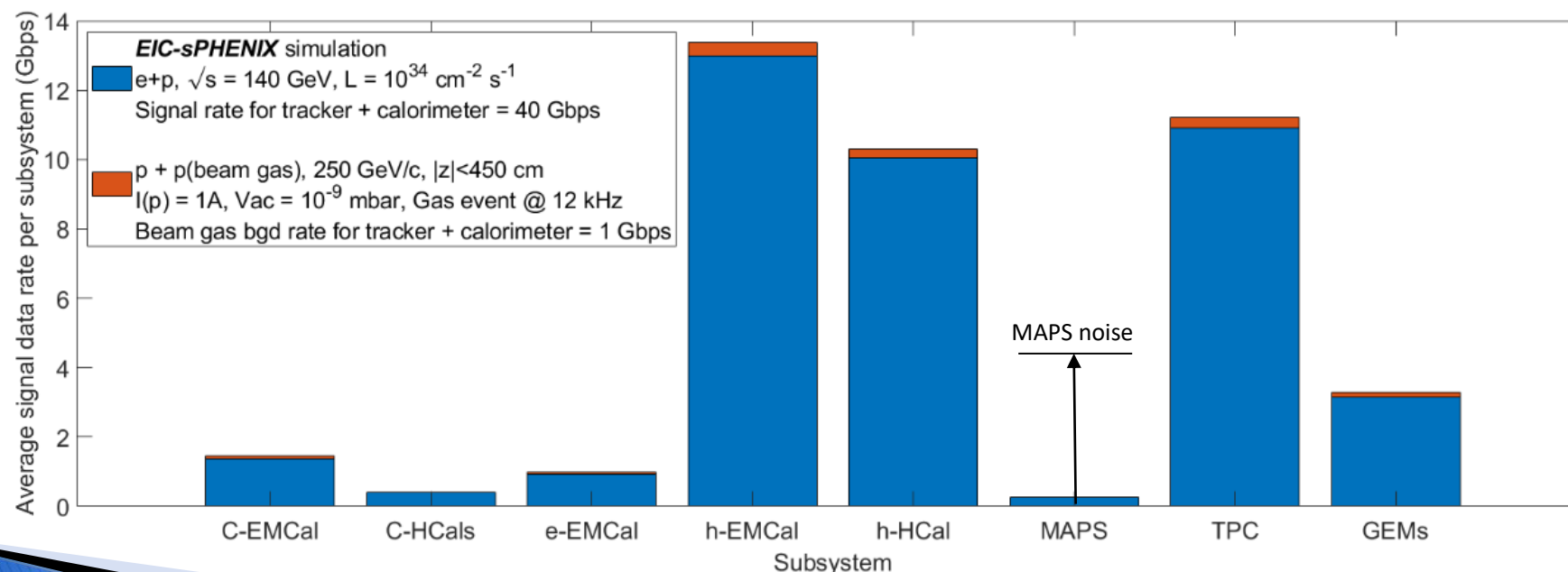


T2K gas: Ar-CF₄-IsoButane 95-3-2
 Drift $E \sim 200 \text{ V/cm}$, $n_{\text{primary}} = 25/\text{cm}$, $n_{\text{total}} = 96/\text{cm}$

DAQ Rate in Geant4 full detector simulation

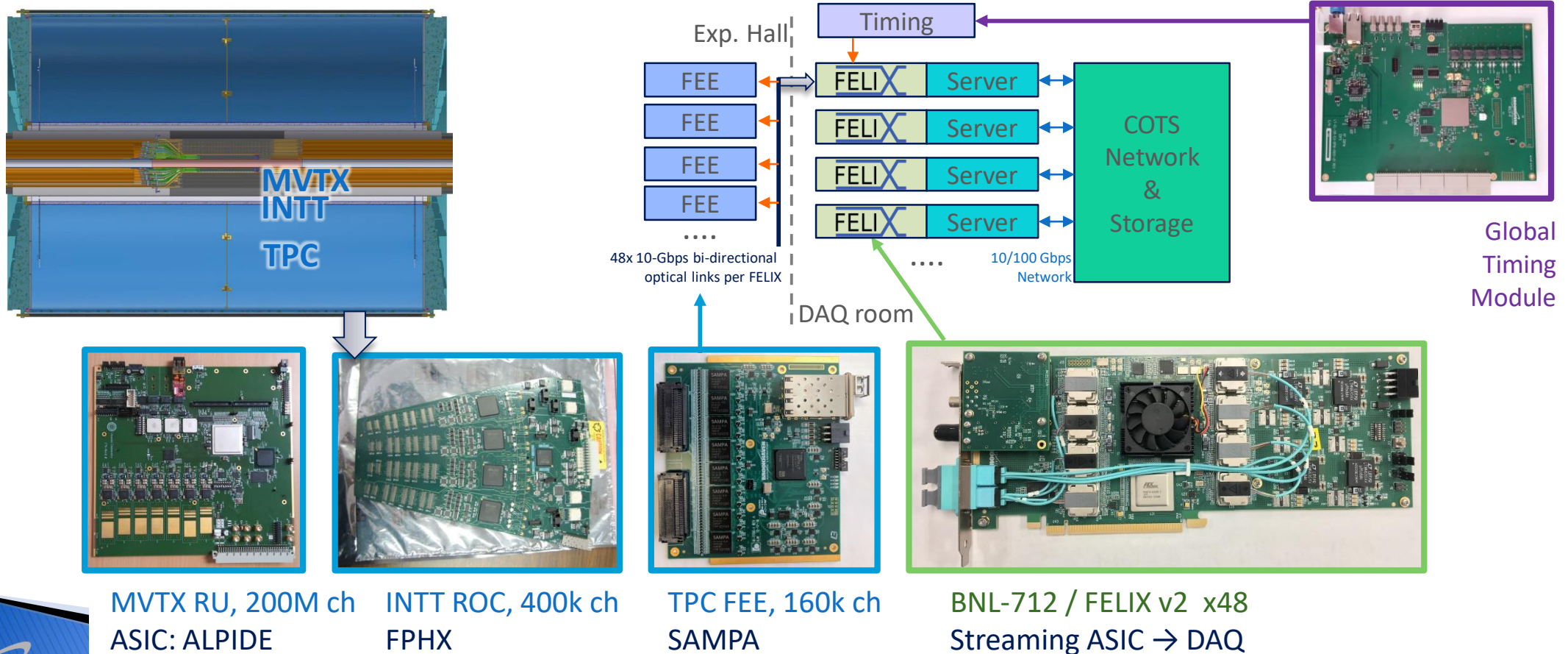
sPH-cQCD-2018-001: <https://indico.bnl.gov/event/5283/> , Simulation: <https://github.com/sPHENIX-Collaboration/singularity>

- ▶ Data we want to record: all EIC collision signal $\sim 100 \text{ Gbps}$ @ $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, < sPHENIX peak disk rate
- ▶ **Background** hit rejection, if needed:
 - Vac profile based on HERA experience (10^{-9} mbar)
→ Overall $\sim 1 \text{ Gbps}$ @ 12 kHz **p+p (beam gas) interaction** << EIC collision signal data rate
 - We will be happy to collaborate on studying **other source of background and noises** (e.g. synchrotron)



Streaming readout for sPHENIX trackers as EIC demo

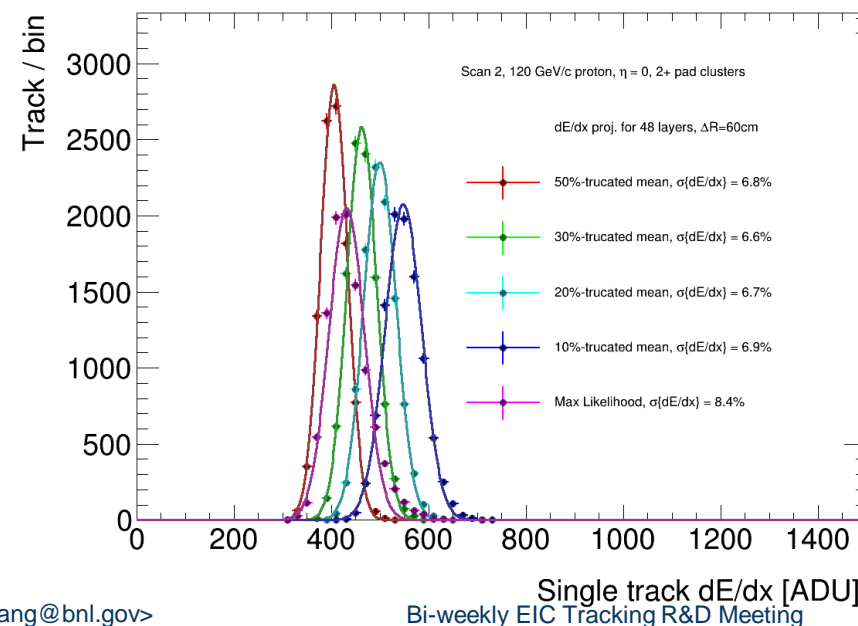
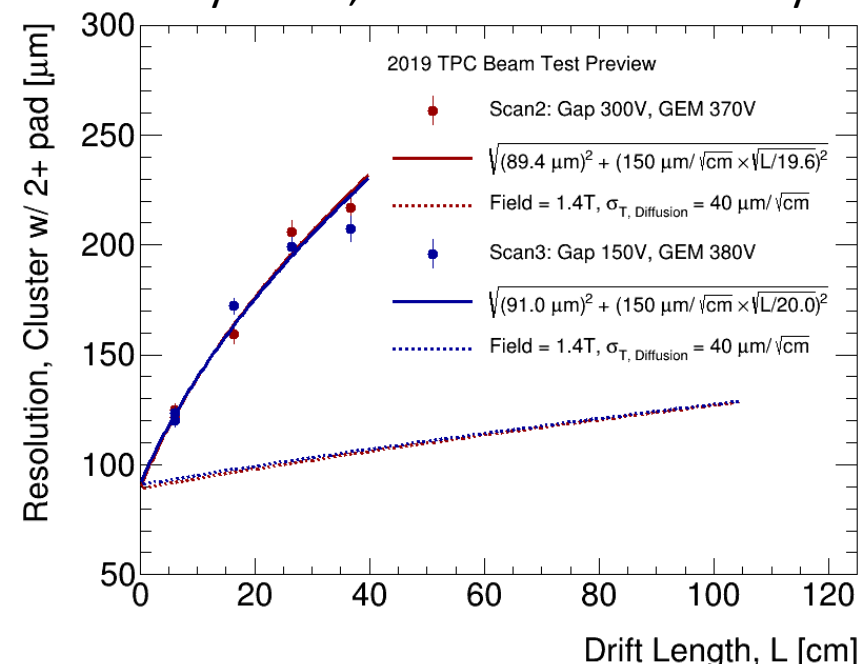
- A large demo of this EIC streaming DAQ concept: sPHENIX tracking system
- Exploring EIC application with BNL LDRD19-028, EIC eRD21



Summary

- ▶ The sPHENIX TPC in EIC config is a capable detector:
 - Early results from test beam indicates: $r\Phi$ position resolution $< 150\mu\text{m}$, PID via $\sigma[dE/dx] \leq 7\%$
 - High throughput trigger-less readout
- ▶ Work point study needed to optimize for EIC performance: Gas choice, drift field, GEM gain stack, Readout pads, Shaping time, Readout firmware
- ▶ Detailed implementation in Geant4 / Digitization / Reco. Tuning on-going.
- ▶ Join EIC streaming readout workshop, Nov 13-15: <https://www.bnl.gov/srv2019/>

Early result, to be finalized in analysis

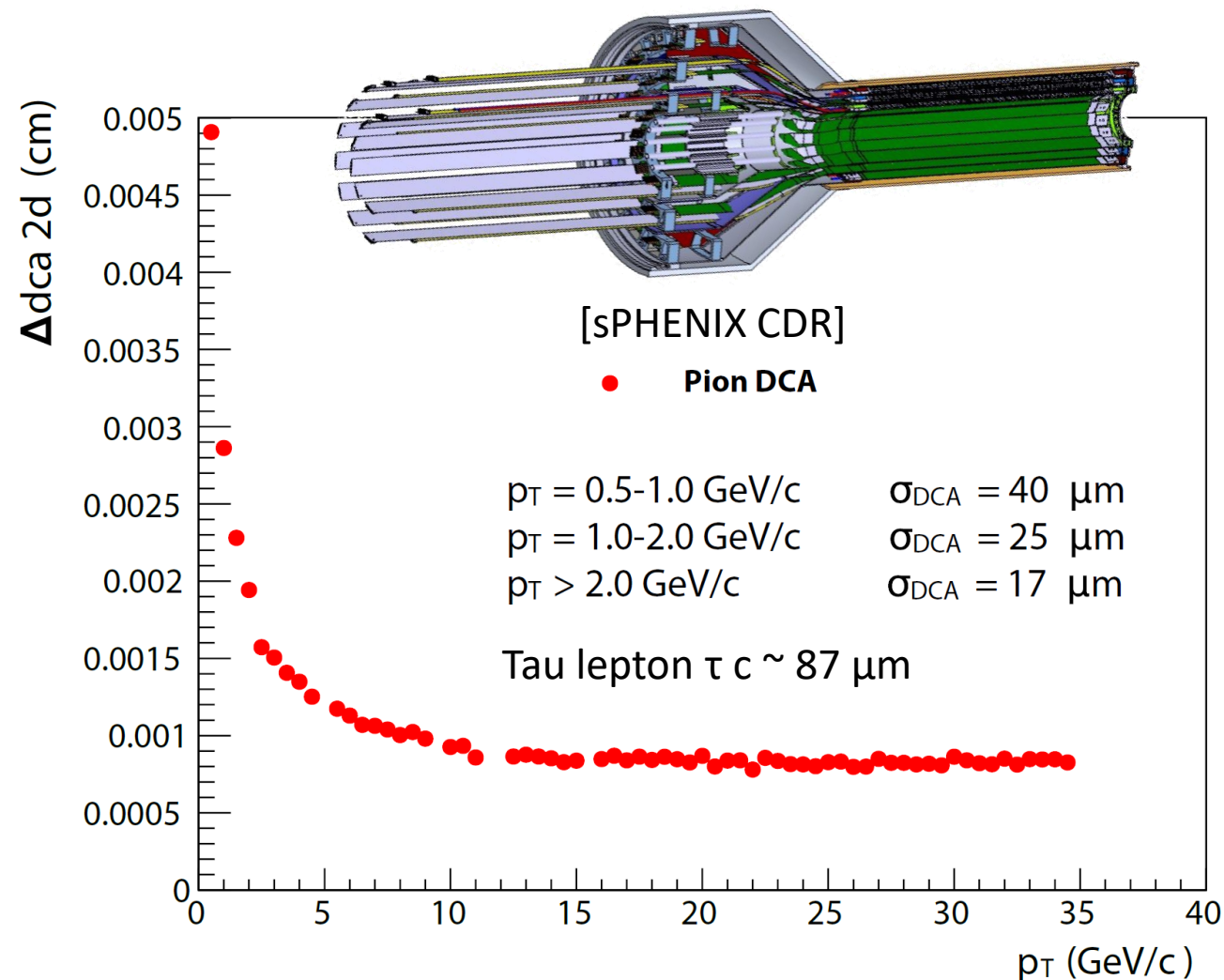


Extra information



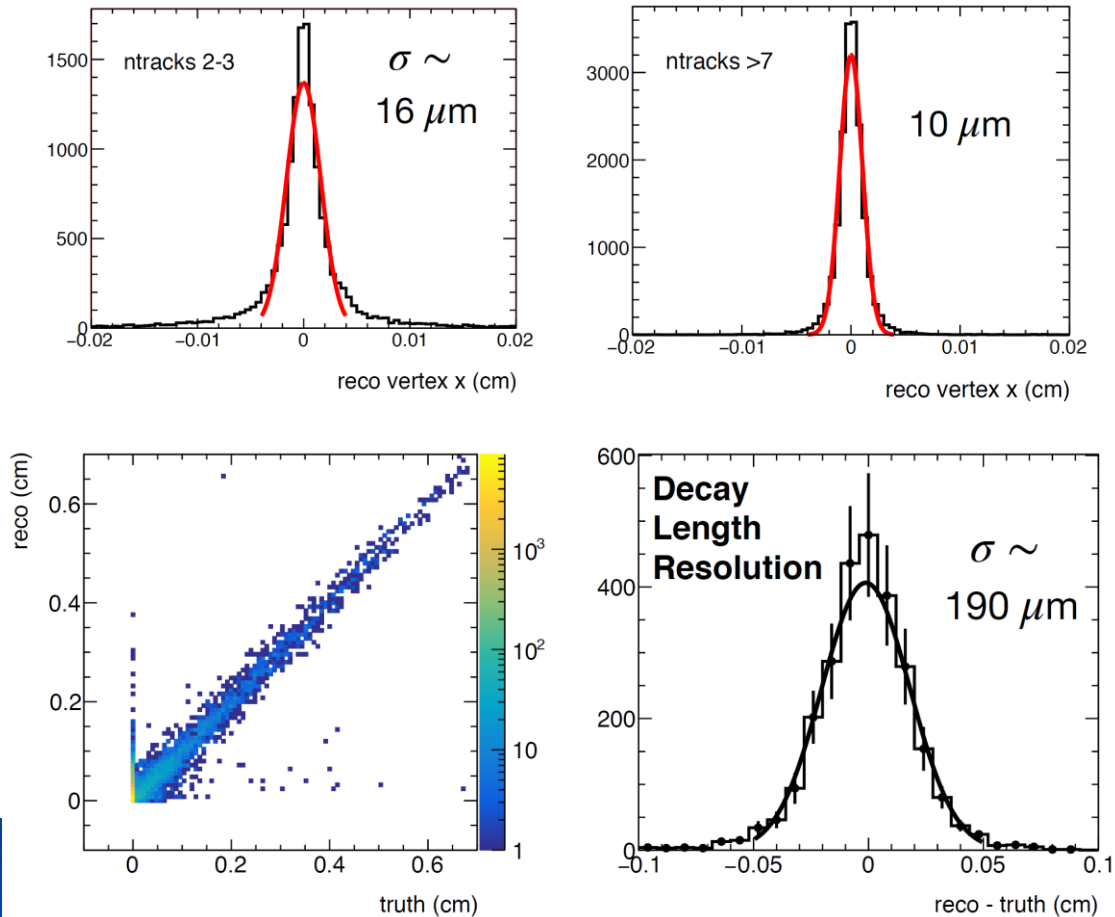
MAPS-based silicon tracker: τ ID via displaced vertex

- ▶ For initial τ -reco evaluation: sPHENIX vertex tracker
 - 30 μm ALICE Pixel MAPS pixel in three layers, total 200 M pixel channels
 - 5 μm hit position resolution
 - 0.3% X_0 thickness per layer
 - $R_{\text{min}} \sim 2\text{cm}$. Note: EIC R_{min} likely $\sim 3\text{cm}$
- ▶ Simulation: full detector in Geant4
- ▶ Reconstruction: digitization \rightarrow clustering \rightarrow track finding \rightarrow Kalman filter \rightarrow primary and 2^{ndary} vertexing
- ▶ Run it on your laptop:
<https://github.com/sPHENIX-Collaboration/Singularity>

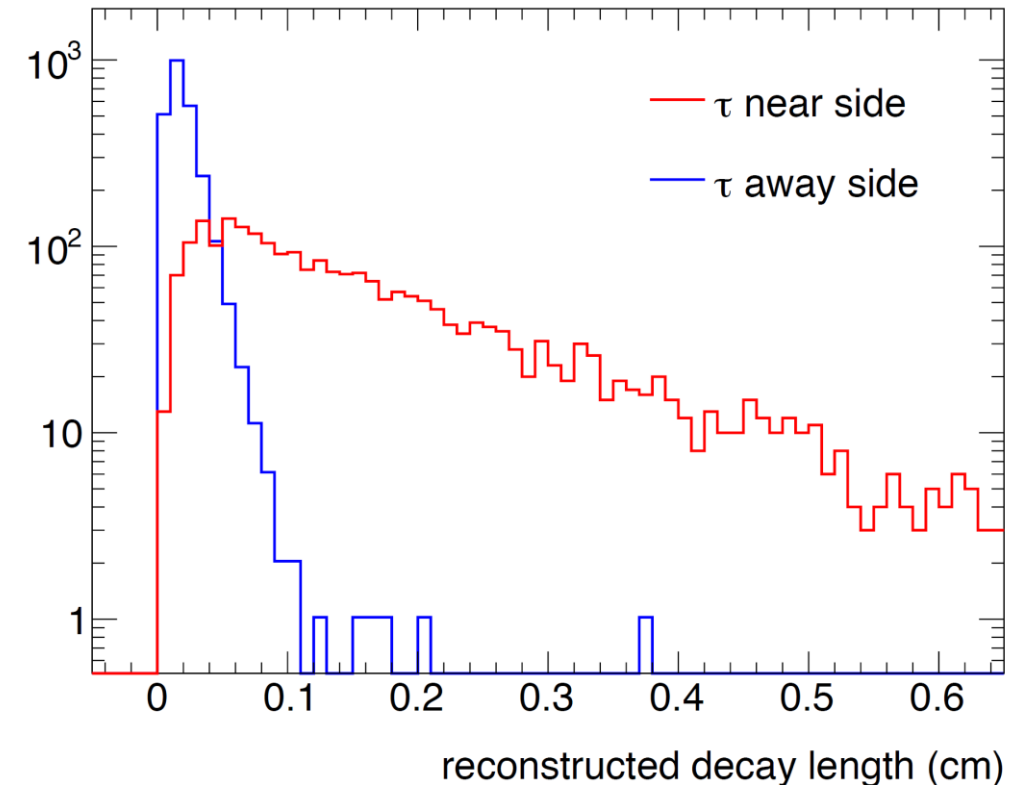


Full sim + reconstruction: secondary vertex of τ

Vertex reco. performance, Plot by Jinlong Zhang (SBU)

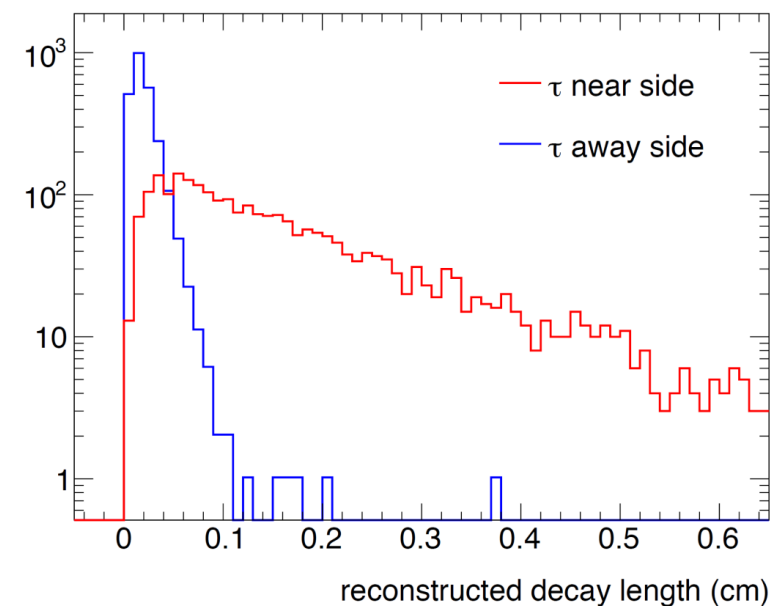
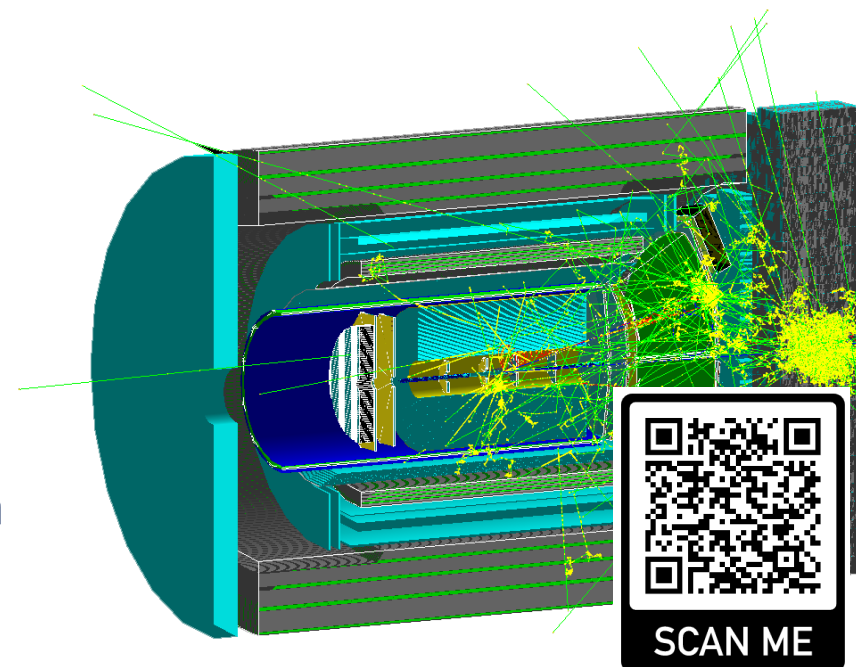


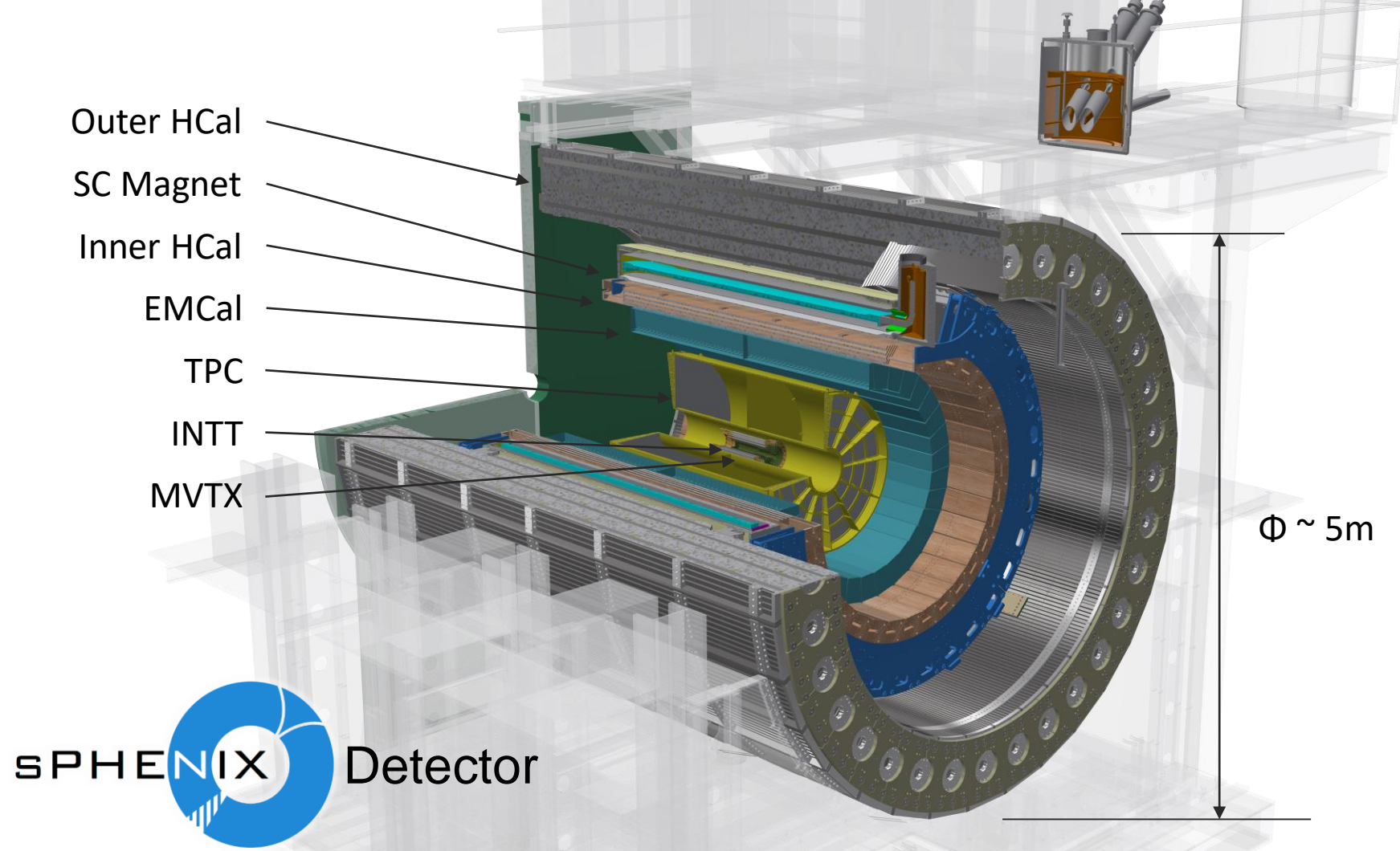
- ▶ Via realistic simulation and reco.
 - W/ sPHENIX vertex tracker configuration.
 - Updating with an EIC vertex tracker simulation
- ▶ Capable separation of τ jet from QCD jet



Summary

- ▶ EIC with high ($10^{34}/\text{cm}^2/\text{s}$) luminosity opens opportunities for Charged Lepton Flavor Violation search
 - Benchmarking $e \rightarrow \tau$ search with Leptoquark models
- ▶ Starting an effort reexamining the potential of CLFV search with decay topological using modern precision vertex tracker and event shape analysis
 - Aiming for 0.1 fb cross-section sensitivity
 - Synergies with heavy flavor program at EIC: Talk X. Li, Y.S. Lai
- ▶ Full detector simulations and reconstruction via sPHENIX-EIC concept
 - Try it on your computers: <https://github.com/sPHENIX-Collaboration/Singularity>
- ▶ Next steps:
 - Completing study 3-prong $\tau \rightarrow$ charged pion decay
 - Explore 1-prong ($\pi\pi^0, \mu^- X$) possibilities



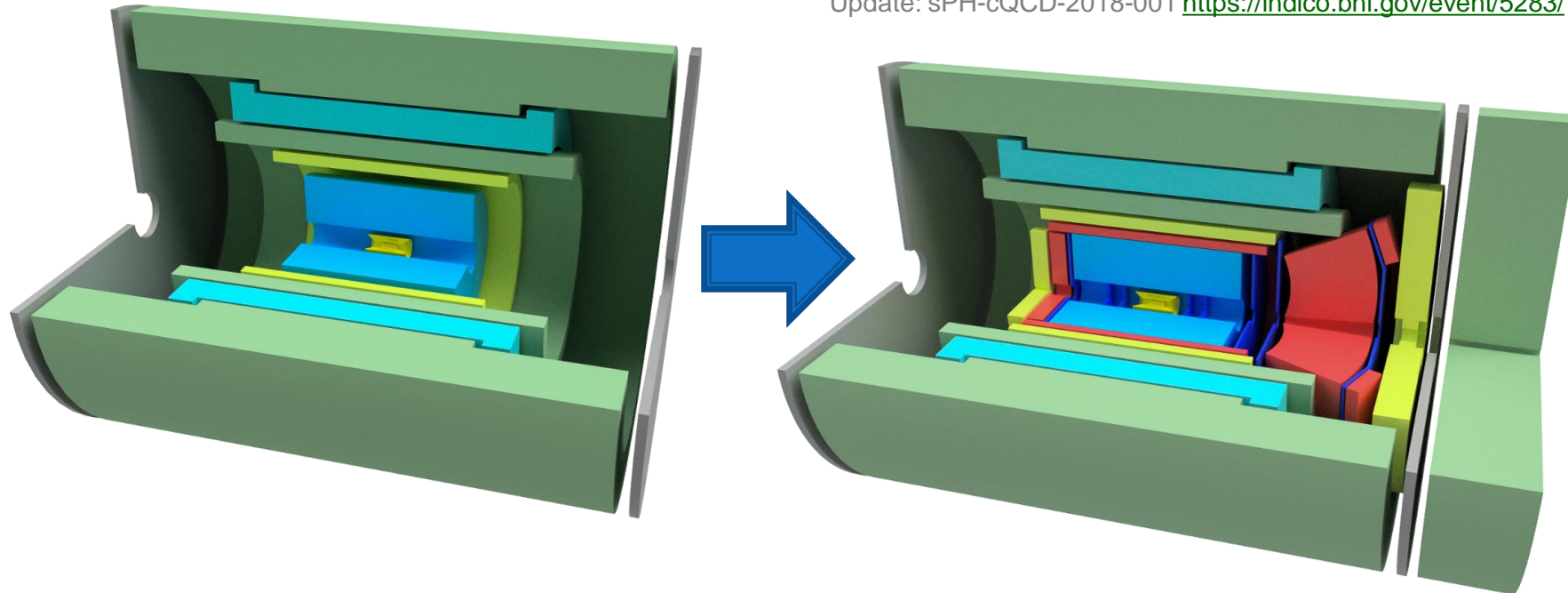









- ▶ 2018: Cost/schedule review and DOE approval for production start of long lead-time items (CD-1/3A)
- ▶ 2022: installation in RHIC 1008 Hall; 2023: First data
 - ▶ All tracker front end support streaming readout.
 - ▶ DAQ disk throughput for 9M particle/s + pile ups (> EIC ~4M particle/s)

sPHENIX and sPHENIX based EIC detector

LOI: arXiv:1402.1209 [nucl-ex]

Update: sPH-cQCD-2018-001 <https://indico.bnl.gov/event/5283/>



- | | | |
|---|---|--|
|  Solenoid |  Flux return |  Central tracking |
|  Electromagnetic calorimeter | |  Forward tracking |
|  Hadron calorimeter | |  Particle ID |